# Low Energy Dirac and Majorana Leptonic CP-Violation and Leptogenesis

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> TAUP 2007 Sendai, Japan September 12, 2007

## Low Energy Leptonic CPV and Leptogenesis: Summary

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Leptogenesis: see-saw mechanism; N_j - heavy RH \nu's; N_j, \nu_k - Majorana particles
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 $N_j$ :  $M_1 \ll M_2 \ll M_3$ 

The observed value of the baryon asymmetry of the Universe can be generated

A. CP-violation due to the Dirac phase  $\delta$  in  $U_{\text{PMNS}}$ , no other sources of CPV (Majorana phases in  $U_{\text{PMNS}}$  equal to 0, etc.); requires  $M_1 \gtrsim 10^{11}$  GeV.

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m_1 \ll m_2 \ll m_3 (NH):
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|\sin 	heta_{13} \sin \delta| \gtrsim 0.09, \sin 	heta_{13} \gtrsim 0.09; |J_{CP}| \gtrsim 2.0 \times 10^{-2}
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 $m_3 \ll m_1 < m_2$  (IH):

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|\sin 	heta_{13} \sin \delta| \gtrsim 0.02, \sin 	heta_{13} \gtrsim 0.02; |J_{CP}| \gtrsim 4.6 	imes 10^{-3}
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B. CP-violation due to the Majorana phases in  $U_{\text{PMNS}}$ , no other sources of CPV (Dirac phase in  $U_{\text{PMNS}}$  equal to 0, etc.); requires  $M_1 \gtrsim 3.5 \times 10^{10}$  GeV.

C. CP-violation due to both Dirac and Majorana phases in  $U_{\text{PMNS}}$ .

D.  $Y_B$  can depend non-trivially on min $(m_j) \sim (10^{-5} - 10^{-2})$  eV. S. Pascoli, S.T.P., A. Riotto, 2006 (A-C); E. Molinaro, S.T.P., T. Shindou, Y. Takanishi, 2007 (D). Compelling Evidences for  $\nu$ -Oscillations:

$$\nu_{l\perp} = \sum_{j=1}^{3} U_{lj} \nu_{j\perp} \qquad l = e, \mu, \tau.$$

B. Pontecorvo, 1957; 1958; 1967;Z. Maki, M. Nakagawa, S. Sakata, 1962;

### **Three Neutrino Mixing**

$$\nu_{l\perp} = \sum_{j=1}^{3} U_{lj} \, \nu_{j\perp} \; .$$

U is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix,

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

•  $U - n \times n$  unitary:

n 2 3 4 mixing angles:  $\frac{1}{2}n(n-1)$  1 3 6

CP-violating phases:

- $\nu_j$  Dirac:  $\frac{1}{2}(n-1)(n-2) = 0 = 1 = 3$
- $\nu_j$  Majorana:  $\frac{1}{2}n(n-1)$  1 3 6

n = 3: 1 Dirac and

2 additional CP-violating phases, Majorana phases

S.M. Bilenky, J. Hosek, S.T.P.,1980; J. Schechter, J.W.F. Valle,1980; M. Doi, T. Kotani, E. Takasugi,1981

#### **PMNS Matrix: Standard Parametrization**

$$U = V \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$ ,  $c_{ij} \equiv \cos \theta_{ij}$ ,  $\theta_{ij} = [0, \frac{\pi}{2}]$ ,
- $\delta$  Dirac CP-violation phase,  $\delta = [0, 2\pi]$ ,
- $\alpha_{21}$ ,  $\alpha_{31}$  the two Majorana CP-violation phases.
- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 8.0 \times 10^{-5} \text{ eV}^2 > 0$ ,  $\sin^2 \theta_{12} \cong 0.30$ ,  $\cos 2\theta_{12} \gtrsim 0.28$  (2 $\sigma$ ),
- $|\Delta m^2_{\rm atm}| \equiv |\Delta m^2_{31}| \cong 2.5 \times 10^{-3} \ {\rm eV^2}$ ,  $\sin^2 2\theta_{23} \cong 1$ ,
- $\theta_{13}$  the CHOOZ angle:  $\sin^2 \theta_{13} < 0.027 (0.041) 2\sigma (3\sigma)$ . A.Bandyopadhyay, S.Choubey, S.Goswami, S.T.P., D.P.Roy, hep-ph/0406328 (updated) T. Schwetz, hep-ph/0606060; G.F. Fogli et al., 2006.

•  $sgn(\Delta m_{atm}^2) = sgn(\Delta m_{31}^2)$  not determined

 $\Delta m_{\rm atm}^2 \equiv \Delta m_{31}^2 > 0$ , normal mass ordering  $\Delta m_{\rm atm}^2 \equiv \Delta m_{32}^2 < 0$ , inverted mass ordering

Convention:  $m_1 < m_2 < m_3$  - NMO,  $m_3 < m_1 < m_2$  - IMO

$$m_1 \ll m_2 \ll m_3,$$
 NH,  
 $m_3 \ll m_1 < m_2,$  IH,  
 $m_1 \cong m_2 \cong m_3, \ m_{1,2,3}^2 >> \Delta m_{atm}^2,$  QD;  $m_j \gtrsim 0.10$  eV.

• Majorana phases  $\alpha_{21}$ ,  $\alpha_{31}$ :

 $- \nu_l \leftrightarrow \nu_{l'}, \, \overline{\nu}_l \leftrightarrow \overline{\nu}_{l'}$  not sensitive;

S.M. Bilenky, J. Hosek, S.T.P., 1980; P. Langacker, S.T.P., G. Steigman, S. Toshev, 1987

 $-|<\!m>|$  in  $(\beta\beta)_{0
u}$ -decay depends on  $lpha_{21}$ ,  $lpha_{31}$ ;

 $-\Gamma(\mu \rightarrow e + \gamma)$  etc. in SUSY theories depend on  $\alpha_{21,31}$ ;

– BAU, leptogenesis scenario:  $\alpha_{21,31}$  !

#### **Future Progress**

- Determination of the nature Dirac or Majorana, of  $u_j$  .
- Determination of sgn( $\Delta m^2_{\rm atm}$ ), type of  $\nu-$  mass spectrum

 $m_1 \ll m_2 \ll m_3,$  NH,  $m_3 \ll m_1 < m_2,$  IH,  $m_1 \cong m_2 \cong m_3, \ m_{1,2,3}^2 >> \Delta m_{atm}^2, \ QD; \ m_j \gtrsim 0.10 \text{ eV}.$ 

- Determining, or obtaining significant constraints on, the absolute scale of  $\nu_{j}$ -masses, or min $(m_{j})$ .
- Status of the CP-symmetry in the lepton sector: violated due to  $\delta$  (Dirac), and/or due to  $\alpha_{21}$ ,  $\alpha_{31}$  (Majorana)?
- High precision determination of  $\Delta m_{\odot}^2$ ,  $\theta_{\odot}$ ,  $\Delta m_{\rm atm}^2$ ,  $\theta_{atm}$ .
- Measurement of, or improving by at least a factor of (5 10) the existing upper limit on,  $\sin^2 \theta_{13}$ .

• Searching for possible manifestations, other than  $\nu_l$ -oscillations, of the nonconservation of  $L_l$ ,  $l = e, \mu, \tau$ , such as  $\mu \to e + \gamma$ ,  $\tau \to \mu + \gamma$ , etc. decays. • Understanding at fundamental level the mechanism giving rise to the  $\nu$ - masses and mixing and to the  $L_l$ -non-conservation. Includes understanding

– the origin of the observed patterns of  $\nu$ -mixing and  $\nu$ -masses ;

– the physical origin of CPV phases in  $U_{\text{PMNS}}$ ;

– Are the observed patterns of  $\nu$ -mixing and of  $\Delta m^2_{21,31}$  related to the existence of a new symmetry?

- Is there any relations between q-mixing and  $\nu$ -mixing? Is  $\theta_{12} + \theta_c = \pi/4$ ?

- Is  $\theta_{23} = \pi/4$ , or  $\theta_{23} > \pi/4$  or else  $\theta_{23} < \pi/4$ ?

- Is there any correlation between the values of CPV phases and of mixing angles in  $U_{\text{PMNS}}$ ?

• Progress in the theory of  $\nu$ -mixing might lead to a better understanding of the origin of the BAU.

– Can the Majorana and/or Dirac CPVP in  $U_{\text{PMNS}}$  be the leptogenesis CPV parameters at the origin of BAU?

#### Rephasing Invariants Associated with CPVP

Dirac phase  $\delta$ :

$$J_{CP} = \operatorname{Im} \left\{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \right\} .$$

C. Jarlskog, 1985 (for quarks)

CP-, T- violation effects in neutrino oscillations

P. Krastev, S.T.P., 1988

Majorana phases  $\alpha_{21}$ ,  $\alpha_{31}$ :

$$\begin{split} S_1 &= \operatorname{Im} \{ U_{e1} U_{e3}^* \}, \quad S_2 &= \operatorname{Im} \{ U_{e2} U_{e3}^* \} \quad (\text{not unique}); \quad \text{or} \\ S_1' &= \operatorname{Im} \{ U_{\tau 1} U_{\tau 2}^* \}, \quad S_2' &= \operatorname{Im} \{ U_{\tau 2} U_{\tau 3}^* \} \\ & \text{J.F. Nieves and P. Pal, 1987, 2001} \\ & \text{G.C. Branco et al., 1986} \end{split}$$

J.A. Aguilar-Saavedra and G.C. Branco, 2000

**CP-violation**: both Im  $\{U_{e1}U_{e3}^*\} \neq 0$  and Re  $\{U_{e1}U_{e3}^*\} \neq 0$ .

- $S_1$ ,  $S_2$  appear in | < m > | in  $(\beta \beta)_{0\nu}$ -decay.
- In general,  $J_{CP}$ ,  $S_1$  and  $S_2$  are independent.

## Dirac CP-Nonconservation: $\delta$ in $U_{\text{PMNS}}$

Observable manifestations in

$$\nu_l \leftrightarrow \nu_{l'}, \quad \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}, \quad l, l' = e, \mu, \tau$$

• not sensitive to Majorana CPVP  $\alpha_{21}$ ,  $\alpha_{31}$  CP-invariance:

$$N.$$
 Cabibbo, 1978  
S.M. Bilenky, J. Hosek, S.T.P.,1980;  
 $P(\nu_l \rightarrow \nu_{l'}) = P(\bar{\nu}_l \rightarrow \bar{\nu}_{l'}) , \quad l \neq l' = e, \mu, \tau$   
 $V.$  Barger et al.,1980.

CPT-invariance:

$$P(
u_l \rightarrow 
u_{l'}) = P(\bar{
u}_{l'} \rightarrow \bar{
u}_{l})$$
  
 $l = l': P(
u_l \rightarrow 
u_l) = P(\bar{
u}_l \rightarrow \bar{
u}_{l})$ 

T-invariance:

$$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_{l'} \rightarrow \nu_l), \ l \neq l'$$

 $3\nu$  – mixing:

$$A_{\mathsf{CP}}^{(l,l')} \equiv P(\nu_l \to \nu_{l'}) - P(\bar{\nu}_l \to \bar{\nu}_{l'}) \ , \ l \neq l' = e, \mu, \tau$$

$$A_{\mathsf{T}}^{(l,l')} \equiv P(\nu_l \to \nu_{l'}) - P(\nu_{l'} \to \nu_l), \ l \neq l'$$
$$A_{\mathsf{T}}^{(e,\mu)} = A_{\mathsf{T}}^{(\mu,\tau)} = -A_{\mathsf{T}}^{(e,\tau)}$$

P.I. Krastev, S.T.P., 1988

In vacuum:  

$$A_{T}^{(e,\mu)} = J_{CP} F_{osc}^{vac}$$

$$J_{CP} = \text{Im} \left\{ U_{e1} U_{\mu 2} U_{e2}^{*} U_{\mu 1}^{*} \right\} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

$$F_{osc}^{vac} = \sin(\frac{\Delta m_{21}^{2}}{2E}L) + \sin(\frac{\Delta m_{32}^{2}}{2E}L) + \sin(\frac{\Delta m_{13}^{2}}{2E}L)$$

In matter: Matter effects violate

 $\mathsf{CP}: \qquad P(\nu_l \to \nu_{l'}) \neq P(\bar{\nu}_l \to \bar{\nu}_{l'})$ 

**CPT**: 
$$P(\nu_l \rightarrow \nu_{l'}) \neq P(\bar{\nu}_{l'} \rightarrow \bar{\nu}_{l})$$

P. Langacker et al., 1987

P.I. Krastev, S.T.P., 1988

Can conserve the T-invariance (Earth)

$$P(
u_l 
ightarrow 
u_{l'}) = P(
u_{l'} 
ightarrow 
u_l), \ l 
eq l'$$

In matter with constant density:  $A_T^{(e,\mu)} = J_{CP}^{mat} F_{osc}^{mat}$ 

 $J_{CP}^{mat} = J_{CP}^{vac} R_{CP}$  $R_{CP}$  does not depend on  $\theta_{23}$  and  $\delta$ ;  $|R_{CP}| \lesssim 2.5$ 

P.I. Krastev, S.T.P., 1988

## HOW?

- Reactor Experiments  $\sim 2 \text{ km}$  $\sin 2\theta_{13}$
- Super Beams:  $\theta_{13}$ ,  $\delta$ , ...

JHF (T2K), SK (HK) 295 km

NuMI (NO $\nu$ A) ~800 km

SPL+ $\beta$ -beams, UNO (1 megaton): CERN-Frejus ~140 km  $\nu$ -Factories ~ 3000, 7000 km If  $\nu_j$ - Majorana particles,  $U_{\text{PMNS}}$  contains (3- $\nu$  mixing)  $\delta$ -Dirac,  $\alpha_{21}$ ,  $\alpha_{31}$ - Majorana physical CPV phases  $\nu$ -oscillations  $\nu_l \leftrightarrow \nu_{l'}$ ,  $\bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$ ,  $l, l' = e, \mu, \tau$ , • are not sensitive to the nature of  $\nu_j$ ,

S.M. Bilenky et al.,1980; P. Langacker et al., 1987

• provide information on  $\Delta m_{jk}^2 = m_j^2 - m_k^2$ , but not on the absolute values of  $\nu_j$  masses.

The Majorana nature of  $\nu_j$  can manifest itself in the existence of  $\Delta L = \pm 2$  processes:

$$K^+ \to \pi^- + \mu^+ + \mu^+$$
  
 $\mu^- + (A, Z) \to \mu^+ + (A, Z - 2)$ 

The process most sensitive to the possible Majorana nature of  $\nu_j$  -  $(\beta\beta)_{0\nu}\text{-}$  decay

$$(A, Z) \to (A, Z + 2) + e^{-} + e^{-}$$

of even-even nuclei, <sup>48</sup>Ca, <sup>76</sup>Ge, <sup>82</sup>Se, <sup>100</sup>Mo, <sup>116</sup>Cd, <sup>130</sup>Te, <sup>136</sup>Xe, <sup>150</sup>Nd.

2n from (A,Z) exchange a virtual Majorana  $\nu_j$  (via the CC weak interaction) and transform into 2p of (A,Z+2) and two free  $e^-$ .



strong in-medium modification of the basic process  $dd \rightarrow uue^-e^-(\bar{v}_e\bar{v}_e)$ 



virtual excitation of states of all multipolarities in (A,Z+1) nucleus

(A,Z+2)

V. Rodin, talk at Gran Sasso, 2006

## $(\beta\beta)_{0\nu}$ -Decay Experiments:

- Majorana nature of  $u_j$
- Type of  $\nu$ -mass spectrum (NH, IH, QD)
- Absolute neutrino mass scale
- <sup>3</sup>H  $\beta$ -decay , cosmology:  $m_{\nu}$  (QD, IH)
  - CPV due to Majorana CPV phases

 $\nu_j$  – Dirac or Majorana particles, fundamental problem

 $\nu_j$ -Dirac: conserved lepton charge exists,  $L = L_e + L_\mu + L_\tau$ ,  $\nu_j \neq \bar{\nu}_j$ 

 $u_j$ -Majorana: no lepton charge is exactly conserved,  $u_j \equiv \overline{
u}_j$ 

The observed patterns of  $\nu$ -mixing and of  $\Delta m_{\rm atm}^2$  and  $\Delta m_{\odot}^2$  can be related to Majorana  $\nu_j$  and an approximate symmetry:

$$L' = L_e - L_\mu - L_\tau$$

S.T.P., 1982

See-saw mechanism:  $u_j$  – Majorana

Establishing that  $\nu_j$  are Majorana particles would be as important as the discovery of  $\nu$ - oscillations.

$$\begin{split} A(\beta\beta)_{0\nu} &\sim < m > \mathsf{M}(\mathsf{A},\mathsf{Z}), \qquad \mathsf{M}(\mathsf{A},\mathsf{Z}) - \mathsf{NME}, \\ || = |m_1|U_{e1}|^2 + m_2|U_{e2}|^2 \ e^{i\alpha_{21}} + m_3|U_{e3}|^2 \ e^{i\alpha_{31}}| \\ &= |m_1 \ c_{12}^2 \ c_{13}^2 + m_2 \ s_{12}^2 \ c_{13}^2 \ e^{i\alpha_{21}} + m_3 \ s_{13}^2 \ e^{i\alpha_{31}}|, \quad \theta_{12} \equiv \theta_{\odot}, \ \theta_{13} - \mathsf{CHOOZ} \end{split}$$

 $\alpha_{21}$ ,  $\alpha_{31}$  - the two Majorana CPVP of the PMNS matrix.

**CP-invariance:**  $\alpha_{21} = 0, \pm \pi, \ \alpha_{31} = 0, \pm \pi;$ 

$$\eta_{21} \equiv e^{i\alpha_{21}} = \pm 1, \quad \eta_{31} \equiv e^{i\alpha_{31}} = \pm 1$$

relative CP-parities of  $\nu_1$  and  $\nu_2,$  and of  $\nu_1$  and  $\nu_3$  .

L. Wolfenstein, 1981;

S.M. Bilenky, N. Nedelcheva, S.T.P., 1984;

B. Kayser, 1984.

$$|\!<\!m\!>|$$
 :  $m_j$ ,  $heta_\odot\equiv heta_{12}$ ,  $heta_{13}$ ,  $lpha_{21,31}$ 

 $m_{
m 1,2,3}$  - in terms of  $\min(m_j)$ ,  $\Delta m^2_{
m atm}$ ,  $\Delta m^2_{\odot}$ 

S.T.P., A.Yu. Smirnov, 1994

Convention:  $m_1 < m_2 < m_3$  - NMO,  $m_3 < m_1 < m_2$  - IMO

$$\Delta m_{\odot}^2 \equiv \Delta m_{21}^2, \quad m_2 = \sqrt{m_1^2 + \Delta m_{\odot}^2}$$

while either

$$\Delta m_{\rm atm}^2 \equiv \Delta m_{31}^2 > 0$$
,  $m_3 = \sqrt{m_1^2 + \Delta m_{\rm atm}^2}$ , normal mass ordering, or

 $\Delta m_{\rm atm}^2 \equiv \Delta m_{32}^2 < 0, \quad m_1 = \sqrt{m_3^2 + |\Delta m_{\rm atm}^2| - \Delta m_{\odot}^2}, \quad \text{inverted mass ordering}$ 

The neutrino mass spectrum –

Normal hierarchical (NH) if  $m_1 \ll m_2 \ll m_3$ ,

Inverted hierarchical (IH) if  $m_3 \ll m_1 \cong m_2$ ,

Quasi-degenerate (QD) if  $m_1 \cong m_2 \cong m_3 = m$ ,  $m_j^2 >> |\Delta m_{atm}^2|$ ;  $m_j \gtrsim 0.1 \text{ eV}$ 

Given  $|\Delta m^2_{\rm atm}|$ ,  $\Delta m^2_{\odot}$ ,  $\theta_{\odot}$ ,  $\theta_{13}$ ,

|<m>| = |<m>| (m<sub>min</sub>,  $\alpha_{21}$ ,  $\alpha_{31}$ ; S), S = NO(NH), IO(IH).

$$\begin{split} A(\beta\beta)_{0\nu} &\sim < m > \mathsf{M}(\mathsf{A},\mathsf{Z}), \qquad \mathsf{M}(\mathsf{A},\mathsf{Z}) - \mathsf{NME}, \\ || &\cong \left| \sqrt{\Delta m_{\odot}^{2}} \sin^{2}\theta_{12}e^{i\alpha} + \sqrt{\Delta m_{31}^{2}} \sin^{2}\theta_{13}e^{i\beta} \right|, \ m_{1} \ll m_{2} \ll m_{3} \ (\mathsf{NH}), \\ || &\cong \sqrt{m_{3}^{2} + \Delta m_{13}^{2}} \left| \cos^{2}\theta_{12} + e^{i\alpha} \sin^{2}\theta_{12} \right|, \ m_{3} < (\ll)m_{1} < m_{2} \ (\mathsf{IH}), \\ || &\simeq m \left| \cos^{2}\theta_{12} + e^{i\alpha} \sin^{2}\theta_{12} \right|, \ m_{1,2,3} \cong m \gtrsim 0.10 \ \mathsf{eV} \ (\mathsf{QD}), \\ \theta_{12} \equiv \theta_{\odot}, \ \theta_{13} - \mathsf{CHOOZ}; \ \alpha \equiv \alpha_{21}, \ \beta \equiv \alpha_{31} - 2\delta. \end{split}$$

**CP-invariance:**  $\alpha = 0, \pm \pi$ ,  $\beta = 0, \pm \pi$ ;

$$\begin{split} |<\!m\!>| &\lesssim 5 \times 10^{-3} \text{ eV, NH}; \ \sqrt{\Delta m_{13}^2} \cos 2 heta_{12} \cong 0.013 \text{ eV} \lesssim |<\!m\!>| &\lesssim \sqrt{\Delta m_{13}^2} \cong 0.055 \text{ eV}, \quad \text{IH}; \ m \cos 2 heta_{12} \lesssim |<\!m\!>| &\lesssim m, \ m \gtrsim 0.10 \text{ eV}, \quad \text{QD}. \end{split}$$

Best sensitivity: Heidelberg-Moscow <sup>76</sup>Ge experiment.

- Claim for a positive signal at  $> 3\sigma$ :
- H. Klapdor-Kleingrothaus et al., PL B586 (2004),

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|\langle m \rangle| = (0.1 - 0.9) \text{ eV} (99.73\% \text{ C.L.}).
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IGEX <sup>76</sup>Ge: |<m>| < (0.33 - 1.35) eV (90% C.L.).
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Taking data - NEMO3 ( $^{100}$ Mo), CUORICINO ( $^{130}$ Te):

|<m>| <(0.7-1.2) eV, |<m>| <(0.18-0.90) eV (90% C.L.).

Large number of projects:  $| < m > | \sim (0.01 - 0.05)$  eV

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CUORE - {}^{130}Te,
GERDA - {}^{76}Ge,
SuperNEMO - {}^{82}Se,
EXO - {}^{136}Xe,
MAJORANA - {}^{76}Ge,
MOON - {}^{100}Mo,
CANDLES - {}^{48}Ca,
XMASS - {}^{136}Xe.
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S. Pascoli, S.T.P., 2006

The current  $2\sigma$  ranges of values of the parameters used.



 $\begin{aligned} \sin^2\theta_{13} &= 0.015 \pm 0.006; \ 1\sigma(\Delta m_{\odot}^2) = 4\%, \ 1\sigma(\sin^2\theta_{\odot}) = 4\%, \ 1\sigma(|\Delta m_{\rm atm}^2|) = 6\%; \\ 2\sigma(|<\!m\!>\!| \ ) \text{ used}. \end{aligned}$ 

## Majorana CPV Phases and | < m > |

- CPV can be established provided
- $|\!<\!m\!>|$  measured with  $\Delta$   $\lesssim$  15% ;
- $\Delta m^2_{\rm atm}$  (IH) or  $m_0$  (QD) measured with  $\delta \lesssim$  10% ;

-  $\xi \lesssim$  1.5 ;

-  $\alpha_{21}$  (QD): in the interval  $\sim [\frac{\pi}{4} - \frac{3\pi}{4}]$ , or  $\sim [\frac{5\pi}{4} - \frac{3\pi}{2}]$ ;

- tan $^2 heta_\odot\gtrsim$  0.40 .

S. Pascoli, S.T.P., W. Rodejohann, 2002

S. Pascoli, S.T.P., L. Wolfenstein, 2002

S. Pascoli, S.T.P., T. Schwetz, hep-ph/0505226

No "No-go for detecting CP-Violation via  $(\beta\beta)_{0\nu}$ -decay"

V. Barger *et al.*, 2002

### Absolute Neutrino Mass Measurements

The Troitzk and Mainz <sup>3</sup>H  $\beta$ -decay experiments

 $m_{
u_e} < 2.3 \text{ eV}$  (95% C.L.)

There are prospects to reach sensitivity

KATRIN :  $m_{\nu_e} \sim 0.2 \text{ eV}$ 

Cosmological and astrophysical data: the WMAP result combined with data from large scale structure surveys (2dFGRS, SDSS)

$$\sum_j m_j \equiv \Sigma < (0.4 - 1.7) \,\, {
m eV}$$

The WMAP and future PLANCK experiments can be sensitive to

$$\sum_j m_j \cong 0.4 \text{ eV}$$

Data on weak lensing of galaxies by large scale structure, combined with data from the WMAP and PLANCK experiments may allow to determine

$$\sum_j m_j$$
:  $\delta \cong 0.04$  eV.

#### $M_{\nu}$ from the See-Saw Mechanism

P. Minkowski, 1977. M. Gell-Mann, P. Ramond, R. Slansky, 1979;

T. Yanagida, 1979;

R. Mohapatra, G. Senjanovic, 1980.

• Explains the smallness of  $\nu$ -masses.

• Through leptogenesis theory links the  $\nu$ -mass generation to the generation of baryon asymmetry of the Universe  $Y_B$ .

S. Fukugita, T. Yanagida, 1986.

• In SUSY GUT's with see-saw mechanism of  $\nu$ -mass generation, the LFV decays

 $\mu \rightarrow e + \gamma, \quad \tau \rightarrow \mu + \gamma, \quad \tau \rightarrow e + \gamma \ , \ \text{etc.}$ 

are predicted to take place with rates within the reach of present and future experiments.

F. Borzumati, A. Masiero, 1986.

• The  $\nu_j$  are Majorana particles;  $(\beta\beta)_{0\nu}$ -decay is allowed.

See-Saw: Dirac  $\nu$ -mass  $m_D$  + Majorana mass  $M_R$  for  $N_R$ 

#### The See-Saw Lagrangian

$$\mathcal{L}^{\text{lep}}(x) = \mathcal{L}_{\text{CC}}(x) + \mathcal{L}_{\text{Y}}(x) + \mathcal{L}_{\text{M}}^{\text{N}}(x),$$

$$\mathcal{L}_{\text{CC}} = -\frac{g}{\sqrt{2}} \overline{l_L}(x) \gamma_{\alpha} \nu_{lL}(x) W^{\alpha \dagger}(x) + h.c.,$$

$$\mathcal{L}_{\text{Y}}(x) = \lambda_{il} \overline{N_{iR}}(x) H^{\dagger}(x) \psi_{lL}(x) + Y_l H^c(x) \overline{l_R}(x) \psi_{lL}(x) + h.c.,$$

$$\mathcal{L}_{\text{M}}^{\text{N}}(x) = -\frac{1}{2} M_i \overline{N_i}(x) N_i(x).$$

 $\psi_{lL}$  - LH doublet, $\psi_{lL}^{\mathsf{T}} = (\nu_{lL} \ l_L)$ ,  $l_R$  - RH singlet, H - Higgs doublet. Basis:  $M_R = (M_1, M_2, M_3)$ ;  $D_N \equiv \operatorname{diag}(M_1, M_2, M_3)$ ,  $D_{\nu} \equiv \operatorname{diag}(m_1, m_2, m_3)$ .  $m_D$  generated by the Yukawa interaction:

$$-\mathcal{L}_{Y}^{\nu} = \lambda_{il} \overline{N_{iR}} H^{\dagger}(x) \psi_{lL}(x), \ v = 174 \text{ GeV}, \ v \lambda = m_{D} - \text{complex}$$

For  $M_R$  - sufficiently large,

$$m_{\nu} \simeq v^2 \ \lambda^T M_R^{-1} \lambda = U_{\text{PMNS}}^* \ m_{\nu}^{\text{diag}} \ U_{\text{PMNS}}^{\dagger}$$
.  
 $Y_{\nu} \equiv \lambda = \sqrt{D_N} \ R \ \sqrt{D_{\nu}} \ (U_{\text{PMNS}})^{\dagger} / v_u$ , all at  $M_R$ ; *R*-complex,  $R^T R = 1$ .  
J.A. Casas and A. Ibarra, 2001  
in GUTs,  $M_R < M_X$ ,  $M_X \sim 10^{16}$  GeV;  
in GUTs, e.g.,  $M_R = (10^9, 10^{12}, 10^{15})$  GeV,  $m_D \sim 1$  GeV.

#### The CP-Invarinace Constraints

Assume:  $C(\overline{\nu}_j)^T = \nu_j, \quad C(\overline{N}_k)^T = N_k, \quad j, k = 1, 2, 3.$ 

The CP-symmetry transformation:

$$U_{CP} N_j(x) U_{CP}^{\dagger} = \eta_j^{NCP} \gamma_0 N_j(x'), \quad \eta_j^{NCP} = i\rho_j^N = \pm i, U_{CP} \nu_k(x) U_{CP}^{\dagger} = \eta_k^{\nu CP} \gamma_0 \nu_k(x'), \quad \eta_k^{\nu CP} = i\rho_k^{\nu} = \pm i.$$

**CP-invariance**:

$$\lambda_{jl}^{*} = \lambda_{jl} (\eta_{j}^{NCP})^{*} \eta^{l} \eta^{H*}, \quad j = 1, 2, 3, \ l = e, \mu, \tau,$$

Convenient choice:  $\eta^l = i$ ,  $\eta^H = 1$  ( $\eta^W = 1$ ):

$$\begin{split} \lambda_{jl}^{*} &= \lambda_{jl} \rho_{j}^{N}, \ \rho_{j}^{N} = \pm 1, \\ U_{lj}^{*} &= U_{lj} \rho_{j}^{\nu}, \ \rho_{j}^{\nu} = \pm 1, \\ R_{jk}^{*} &= R_{jk} \rho_{j}^{N} \rho_{k}^{\nu}, \ j, k = 1, 2, 3, \ l = e, \mu, \tau, \end{split}$$

 $\lambda_{jl}$ ,  $U_{lj}$ ,  $R_{jk}$  - either real or purely imaginary.

Relevant quantity:

$$P_{jkml} \equiv R_{jk} R_{jm} U_{lk}^* U_{lm}, \ k \neq m,$$
  

$$CP: P_{jkml}^* = P_{jkml} (\rho_j^N)^2 (\rho_k^\nu)^2 (\rho_m^\nu)^2 = P_{jkml}, \ \operatorname{Im}(P_{jkml}) = 0.$$

$$P_{jkml} \equiv R_{jk} R_{jm} U_{lk}^* U_{lm}, \ k \neq m,$$
  

$$CP: P_{jkml}^* = P_{jkml} (\rho_j^N)^2 (\rho_k^\nu)^2 (\rho_m^\nu)^2 = P_{jkml}, \ \operatorname{Im}(P_{jkml}) = 0.$$

Consider NH  $N_j$ , NH  $\nu_k$ :  $P_{123\tau} = R_{12} R_{13} U_{\tau 2}^* U_{\tau 3}$ 

Suppose, CP-invrainace holds at low E:  $\delta = 0$ ,  $\alpha_{21} = \pi$ ,  $\alpha_{31} = 0$ .

Thus,  $U_{\tau 2}^* U_{\tau 3}$  - purely imaginary.

Then real  $R_{12} R_{13}$  corresponds to CP-violation at "high" E.

#### Leptogenesis

$$Y_B = \frac{n_B - n_{\bar{B}}}{S} \sim 8.6 \times 10^{-11} \quad (n_{\gamma}: \sim 6.3 \times 10^{-10})$$
$$Y_B \cong -10^{-2} \quad \mathcal{E} \quad \mathcal{K}$$
W. Buchmüller, M. Plümacher, 1998;  
W. Buchmüller, P. Di Bari, M. Plümacher, 2004  
$$\mathcal{K}$$
- efficiency factor;  $\mathcal{K} \sim 10^{-1} - 10^{-3}$ :  $\mathcal{E} \gtrsim 10^{-7}$ .

 $\varepsilon$ : CP-, L- violating asymmetry generated in out of equilibrium  $N_{Rj}$ -decays in the early Universe,

$$\varepsilon_1 = \frac{\Gamma(N_1 \to \Phi^- \ell^+) - \Gamma(N_1 \to \Phi^+ \ell^-)}{\Gamma(N_1 \to \Phi^- \ell^+) + \Gamma(N_1 \to \Phi^+ \ell^-)}$$

M.A. Luty, 1992; L. Covi, E. Roulet and F. Vissani, 1996; M. Flanz *et al.*, 1996; M. Plümacher, 1997; A. Pilaftsis, 1997.

 $\kappa = \kappa(\widetilde{m}), \ \widetilde{m}$  - determines the rate of wash-out processes:

 $\Phi^+ + \ell^- \rightarrow N_1$ ,  $\ell^- + \Phi^+ \rightarrow \Phi^- + \ell^+$ , etc.

W. Buchmuller, P. Di Bari and M. Plumacher, 2002; G. F. Giudice *et al.*, 2004

### Low Energy Leptonic CPV and Leptogenesis

Assume:  $M_1 \ll M_2 \ll M_3$ Individual asymmetries:

$$\varepsilon_{1l} = -\frac{3M_1}{16\pi v^2} \frac{\operatorname{Im}\left(\sum_{j,k} m_j^{1/2} m_k^{3/2} U_{lj}^* U_{lk} R_{1j} R_{1k}\right)}{\sum_j m_j |R_{1j}|^2}, \qquad v = 174 \text{ GeV}$$

$$\widetilde{m_{l}} \equiv \frac{|\lambda_{1l}|^{2} v^{2}}{M_{1}} = \left| \sum_{k} R_{1k} m_{k}^{1/2} U_{lk}^{*} \right|^{2}, \quad l = e, \mu, \tau.$$

The "one-flavor" approximation -  $Y_{e,\mu,\tau}$  - "small": Boltzmann eqn. for  $n(N_1)$  and  $\Delta L = \Delta(L_e + L_\mu + L_\tau)$ .  $Y_l \ H^c(x)\overline{l_R}(x)\psi_{lL}$ - out of equilibrium at  $T \sim M_1$ . One-flavor approximation:  $M_1 \sim T > 10^{12}$  GeV

$$\varepsilon_1 = \sum_{l} \varepsilon_{1l} = -\frac{3M_1}{16\pi v^2} \frac{\operatorname{Im}\left(\sum_{j,k} m_j^2 R_{1j}^2\right)}{\sum_k m_k |R_{1k}|^2},$$
  
$$\widetilde{m_1} = \sum_{l} \widetilde{m_l} = \sum_k m_k |R_{1k}|^2.$$

#### **Two-Flavour Regime**

At  $M_1 \sim T \sim 10^{12}$  GeV:  $Y_{\tau}$  - in equilibrium,  $Y_{e,\mu}$  - not; dynamics changes:  $\tau_R^-$ ,  $\tau_L^+$  $\tau_R^- + N_1 \rightarrow \nu_L + \tau_R^-$ ,  $N_1 + \nu_L \rightarrow \tau_R^- + \tau_L^+$ , etc.  $\varepsilon_{1\tau}$  and  $(\varepsilon_{1e} + \varepsilon_{1\mu}) \equiv \varepsilon_2$  evolve independently.

Three-Flavour Regime

At  $M_1 \sim T \sim 10^9$  GeV:  $Y_{\tau}$ ,  $Y_{\mu}$  - in equilibrium,  $Y_e$  - not.

 $\varepsilon_{1\tau}$ ,  $\varepsilon_{1e}$  and  $\varepsilon_{1\mu}$  evolve independently.

Thus, at  $M_1 \sim 10^9 - 10^{12}$  GeV:  $L_{\tau}$ ,  $\Delta L_{\tau}$  - distinguishable;

 $L_e, L_\mu, \Delta L_e, \Delta L_\mu$  - individually not distinguishable;  $L_e + L_\mu, \Delta (L_e + L_\mu)$ 

A. Abada et al., 2006; E. Nardi et al., 2006 A. Abada et al., 2006

#### Individual asymmetries:

Assume:  $M_1 \ll M_2 \ll M_3$ ,  $10^9 \lesssim M_1 \ (\sim T) \lesssim 10^{12} \text{ GeV}$ ,

$$\varepsilon_{1l} = -\frac{3M_1}{16\pi v^2} \frac{\operatorname{Im}\left(\sum_{j,k} m_j^{1/2} m_k^{3/2} U_{lj}^* U_{lk} R_{1j} R_{1k}\right)}{\sum_j m_j |R_{1j}|^2}$$

$$\widetilde{m_{l}} \equiv \frac{|\lambda_{1l}|^{2} v^{2}}{M_{1}} = \left| \sum_{k} R_{1k} m_{k}^{1/2} U_{lk}^{*} \right|^{2}, \quad l = e, \mu, \tau.$$

The baryon asymmetry is

$$Y_B \simeq -\frac{12}{37g_*} \left( \epsilon_2 \eta \left( \frac{417}{589} \widetilde{m_2} \right) + \epsilon_\tau \eta \left( \frac{390}{589} \widetilde{m_\tau} \right) \right),$$
  
$$\eta \left( \widetilde{m_l} \right) \simeq \left( \left( \frac{\widetilde{m_l}}{8.25 \times 10^{-3} \,\mathrm{eV}} \right)^{-1} + \left( \frac{0.2 \times 10^{-3} \,\mathrm{eV}}{\widetilde{m_l}} \right)^{-1.16} \right)^{-1}$$

•

$$\begin{split} Y_{\mathcal{B}} &= -(12/37) \left(Y_2 + Y_{\tau}\right), \\ Y_2 &= Y_{e+\mu}, \quad \varepsilon_2 = \varepsilon_{1e} + \varepsilon_{1\mu}, \quad \widetilde{m_2} = \widetilde{m_{1e}} + \widetilde{m_{1\mu}} \\ & \text{A. Abada et al., 2006; E. Nardi et al., 2006} \\ & \text{A. Abada et al., 2006} \end{split}$$

Real (Purely Imaginary) *R*:  $\varepsilon_{1l} \neq 0$ , CPV from *U*  $\varepsilon_{1e} + \varepsilon_{1\mu} + \varepsilon_{1\tau} = \varepsilon_2 + \varepsilon_{1\tau} = 0$ ,

$$\begin{split} \varepsilon_{1\tau} &= -\frac{3M_1}{16\pi v^2} \frac{\mathrm{Im}\left(\sum_{j,k} m_j^{1/2} m_k^{3/2} U_{\tau j}^* U_{\tau k} R_{1j} R_{1k}\right)}{\sum_j m_j |R_{1j}|^2} \\ &= -\frac{3M_1}{16\pi v^2} \frac{\sum_{j,k>j} m_j^{1/2} m_k^{1/2} (m_k - m_j) R_{1j} R_{1k} \mathrm{Im}\left(U_{\tau j}^* U_{\tau k}\right)}{\sum_j m_j |R_{1j}|^2}, R_{1j} R_{1k} = \pm |R_{1j} R_{1k}|, \\ &= \mp \frac{3M_1}{16\pi v^2} \frac{\sum_{j,k>j} m_j^{1/2} m_k^{1/2} (m_k + m_j) |R_{1j} R_{1k}| \operatorname{Re}\left(U_{\tau j}^* U_{\tau k}\right)}{\sum_j m_j |R_{1j}|^2}, R_{1j} R_{1k} = \pm i |R_{1j} R_{1k}|, \end{split}$$

S. Pascoli, S.T.P., A. Riotto, 2006.

**CP-Violation:** Im  $(U_{\tau j}^* U_{\tau k}) \neq 0$ , Re  $(U_{\tau j}^* U_{\tau k}) \neq 0$ ;

$$Y_B = -\frac{12}{37} \frac{\varepsilon_{1\tau}}{g_*} \left( \eta \left( \frac{390}{589} \widetilde{m_{\tau}} \right) - \eta \left( \frac{417}{589} \widetilde{m_2} \right) \right)$$

 $m_1 \ll m_2 \ll m_3, M_1 \ll M_{2,3}; R_{12}R_{13} - \text{real}; m_1 \cong 0, R_{11} \cong 0$  (N<sub>3</sub> decoupling)

$$\varepsilon_{1\tau} = -\frac{3M_1\sqrt{\Delta m_{31}^2}}{16\pi v^2} \left(\frac{\Delta m_{\odot}^2}{\Delta m_{31}^2}\right)^{\frac{1}{4}} \frac{|R_{12}R_{13}|}{\left(\frac{\Delta m_{\odot}^2}{\Delta m_{31}^2}\right)^{\frac{1}{2}} |R_{12}|^2 + |R_{13}|^2} \\ \times \left(1 - \frac{\sqrt{\Delta m_{\odot}^2}}{\sqrt{\Delta m_{31}^2}}\right) \operatorname{Im}\left(U_{\tau 2}^* U_{\tau 3}\right)$$

$$\operatorname{Im}(U_{\tau 2}^{*}U_{\tau 3}) = -c_{13}\left[c_{23}s_{23}c_{12}\sin\left(\frac{\alpha_{32}}{2}\right) - c_{23}^{2}s_{12}s_{13}\sin\left(\delta - \frac{\alpha_{32}}{2}\right)\right]$$

 $\alpha_{32} = \pi, \ \delta = 0$ : Re $(U_{\tau 2}^* U_{\tau 3}) = 0$ , CPV due to *R* S. Pascoli, S.T.P., A. Riotto, 2006.  $M_1 \ll M_2 \ll M_3, \ m_1 \ll m_2 \ll m_3 \ (NH)$ 

Dirac CP-violation

 $\alpha_{32} = 0 \ (2\pi), \ \beta_{23} = \pi \ (0); \ \beta_{23} \equiv \beta_{12} + \beta_{13} \equiv \arg(R_{12}R_{13}).$ 

 $|R_{12}|^2 \cong 0.85$ ,  $|R_{13}|^2 = 1 - |R_{12}|^2 \cong 0.15$  - maximise  $|\epsilon_{\tau}|$  and  $|Y_B|$ :

$$egin{aligned} |Y_B| &\cong 2.8 imes 10^{-13} \, |\sin \delta| \, \left(rac{s_{13}}{0.2}
ight) \left(rac{M_1}{10^9 \, \, {
m GeV}}
ight) \, . \ Y_B| \gtrsim 8 imes 10^{-11}, \quad M_1 \lesssim 5 imes 10^{11} \, \, {
m GeV} \ {
m imply} \end{aligned}$$

 $|\sin \theta_{13} \sin \delta| \gtrsim 0.11$ ,  $\sin \theta_{13} \gtrsim 0.11$ .

The lower limit corresponds to

 $|J_{\mathsf{CP}}| \gtrsim 2.4 imes 10^{-2}$ 

FOR  $\alpha_{32} = 0$  (2 $\pi$ ),  $\beta_{23} = 0$  ( $\pi$ ):

 $|\sin heta_{13} \sin \delta| \gtrsim 0.09$ ,  $\sin heta_{13} \gtrsim 0.09$ ;  $|J_{\sf CP}| \gtrsim 2.0 imes 10^{-2}$ 

 $M_1 \ll M_2 \ll M_3, \ m_1 \ll m_2 \ll m_3 \ (NH)$ 

Majorana CP-violation

 $\delta = 0$ , real  $R_{12}$ ,  $R_{13}$  ( $\beta_{23} = \pi$  (0));

 $\alpha_{32} \cong \pi/2$ ,  $|R_{12}|^2 \cong 0.85$ ,  $|R_{13}|^2 = 1 - |R_{12}|^2 \cong 0.15$  - maximise  $|\epsilon_{\tau}|$  and  $|Y_B|$ :

$$|Y_B| \cong 2 \times 10^{-12} \left( \frac{\sqrt{\Delta m_{31}^2}}{0.05 \text{ eV}} \right) \left( \frac{M_1}{10^9 \text{ GeV}} \right) \,.$$

We get  $|Y_B| \gtrsim 8 \times 10^{-11}$ , for  $M_1 \gtrsim 3.6 \times 10^{10}$  GeV

 $M_1 \ll M_2 \ll M_3, \ m_3 \ll m_1 < m_2$  (IH)

 $m_3 \cong 0$ ,  $R_{13} \cong 0$  ( $N_3$  decoupling): impossible to reproduce  $Y_B^{obs}$  for real  $R_{11}R_{12}$ 

Dirac CP-violation, purely imaginary  $R_{11}R_{12}$ 

 $\alpha_{21} = \pi; R_{11}R_{12} = i\kappa |R_{11}R_{12}|, \kappa = 1;$ 

$$\begin{split} |R_{11}| &\cong 1.07, \ |R_{12}|^2 = |R_{11}|^2 - 1, \ |R_{12}| \cong 0.38 - \text{maximise} \ |\epsilon_{\tau}| \text{ and } |Y_B|:\\ |Y_B| &\cong 8.1 \times 10^{-12} \ |s_{13} \sin \delta| \ \left(\frac{M_1}{10^9 \text{ GeV}}\right).\\ |Y_B| &\gtrsim 8 \times 10^{-11}, \quad M_1 \lesssim 5 \times 10^{11} \text{ GeV imply} \end{split}$$

 $|\sin \theta_{13} \sin \delta| \gtrsim 0.02$ ,  $\sin \theta_{13} \gtrsim 0.02$ .

The lower limit corresponds to

 $|J_{\mathsf{CP}}| \gtrsim 4.6 imes 10^{-3}$ 

- $M_1 \ll M_2 \ll M_3, \ m_3 \ll m_1 < m_2$  (IH)
- Majorana or Dirac CP-violation

 $m_3 \neq 0$ ,  $R_{13} \neq 0$ ,  $R_{11}(R_{12}) = 0$ : possible to reproduce  $Y_B^{obs}$  for real  $R_{12(11)}R_{13} \neq 0$ 

Requires  $m_3 \cong (10^{-5} - 10^{-2})$  eV; non-trivial dependence of  $|Y_B|$  on  $m_3$ 

Majorana CPV,  $\delta = 0$  ( $\pi$ ): requires  $M_1 \gtrsim 3.5 \times 10^{10}$  GeV

Dirac CPV,  $\alpha_{32(31)} = 0$ : typically requires  $M_1 \gtrsim 10^{11}$  GeV

 $|Y_B| \gtrsim 8 \times 10^{-11}$ ,  $M_1 \lesssim 5 \times 10^{11}$  GeV imply

 $|\sin \theta_{13} \sin \delta|, \sin \theta_{13} \gtrsim (0.04 - 0.09).$ 

The lower limit corresponds to

 $|J_{\sf CP}| \gtrsim (0.009 - 0.02)$ 

NO (NH) spectrum,  $m_1 < (\ll) m_2 < m_3$ : similar dependence of  $|Y_B|$  on  $m_1$  if  $R_{12} = 0$ ,  $R_{11}R_{13} \neq 0$ ; non-trivial effects for  $m_1 \cong (10^{-5} - 5 \times 10^{-2})$  eV. E. Molinaro, S.T.P., T. Shindou, Y. Takanishi, 2007



$$\begin{split} M_1 \ll M_2 \ll M_3, \ m_1 \ll m_2 \ll m_3; \ \text{Dirac CP-violation}, \ \alpha_{32} = 0; \ 2\pi; \\ \text{real } R_{12}, \ R_{13}, \ |R_{12}|^2 + |R_{13}|^2 = 1, \ |R_{12}| = 0.86, \ |R_{13}| = 0.51, \ \text{sign} \ (R_{12}R_{13}) = +1; \\ \text{i) } \alpha_{32} = 0 \ (\kappa' = +1), \ s_{13} = 0.2 \ (\text{red line}) \ \text{and} \ s_{13} = 0.1 \ (\text{dark blue line}); \\ \text{ii) } \alpha_{32} = 2\pi \ (\kappa' = -1), \ s_{13} = 0.2 \ (\text{light blue line}); \\ M_1 = 5 \times 10^{11} \ \text{GeV}. \end{split}$$



 $m_3 < m_1 < m_2$ ,  $M_1 \ll M_2 \ll M_3$ , real  $R_{1j}$ ;  $M_1 = (10^9 - 10^{12})$  GeV,  $s_{13} = 0.2$ ; 0.1; 0;  $R_{1j}$  varied within  $|R_{13}|^2 + |R_{12}|^2 + |R_{13}|^2 = 1$ ;  $\alpha_{21}, \alpha_{31}, \delta$  varied in  $[0, 2\pi]$ ; min $(M_1)$  for given  $m_3$ :  $|Y_B| = 8.6 \times 10^{-11}$ ; absolute minima of  $M_1$ :  $m_3 \cong 5.5 \times 10^{-4}$ ;  $5.9 \times 10^{-3}$  eV,  $\alpha_{32} \cong \pi/2$ ,  $M_1 = 3.4$   $(3.5) \times 10^{10}$  GeV.



 $m_3 \ll m_1 \ll m_2$  (IH),  $R_{11} = 0$ , real  $R_{12}R_{13}$ , Majorana CPV;  $\alpha_{32} = \pi/2$ ,  $s_{13} = 0$ ,  $M_1 = 10^{11}$  GeV; i) sgn $(R_{12}R_{13}) = +1$ ; ii) sgn $(R_{12}R_{13}) = -1$ .



 $m_3 \ll m_1 \ll m_2$  (IH),  $R_{11} = 0$ , real  $R_{12}R_{13}$ , Dirac CPV,  $\alpha_{32} = 0$ ;  $s_{13} = 0.2, \ \delta = \pi/2, \ M_1 = 10^{11} \text{ GeV}$ ; i)  $\text{sgn}(R_{12}R_{13}) = +1$ ; ii)  $\text{sgn}(R_{12}R_{13}) = -1$ ; i)  $\sin^2 \theta_{23} = 0.50$ ; 0.35; 0.64 (red solid, dotted, dash-dotted lines); ii)  $\sin^2 \theta_{23} = 0.50$  (blue dashed line);



 $m_1 < m_2 < m_3$  (NO(NH)),  $R_{12} = 0$ , real  $R_{11}R_{13}$ , Majorana CPV,  $s_{13} = 0$ ; sgn $(R_{11}R_{13}) = -1$ , sin<sup>2</sup> $\theta_{23} = 0.50$ ,  $M_1 = 3 \times 10^{11}$  GeV;  $\alpha_{32} = 2\pi/3$ ;  $\pi/2$ ;  $\pi/3$  (red, blue, green lines).



 $M_1 \ll M_2 \ll M_3, m_1 \ll m_2 \ll m_3; M_1 = 5 \times 10^{11} \text{ GeV};$ Dirac CP-violation,  $\alpha_{32} = 0 \ (2\pi);$  $|R_{12}| = 0.86, |R_{13}| = 0.51, \text{ sign} (R_{12}R_{13}) = +1 \ (-1) \ (\beta_{23} = 0 \ (\pi), \ \kappa' = +1);$ The red region denotes the  $2\sigma$  allowed range of  $Y_{\text{B}}$ .



 $M_1 \ll M_2 \ll M_3$ ,  $m_1 \ll m_2 \ll m_3$ ; Majorana CP-violation,  $\delta = 0$ ; real  $R_{12}$ ,  $R_{13}$ ,  $|R_{12}| = 0.92$ ,  $|R_{13}| = 0.39$ , sgn $(R_{12}R_{13}) = +1$  ( $\beta_{23} = 0$ ,  $\kappa = +1$ );  $M_1 = 5 \times 10^{10}$  GeV,  $s_{13} = 0$  (blue line) and 0.2 (red line).

![](_page_44_Figure_0.jpeg)

 $M_1 \ll M_2 \ll M_3, m_1 \ll m_2 \ll m_3; M_1 = 5 \times 10^{11} \text{ GeV};$ real  $R_{12}, R_{13}$ , sign  $(R_{12}R_{13}) = +1, R_{12}^2 + R_{13}^2 = 1, s_{13} = 0.20;$ a) Majorana CP-violation (blue line),  $\delta = 0$  and  $\alpha_{32} = \pi/2$  ( $\kappa = +1$ ); b) Dirac CP-violation (red line),  $\delta = \pi/2$  and  $\alpha_{32} = 0$  ( $\kappa' = +1$ );  $\Delta m_{\odot}^2, \sin^2 \theta_{12}, \Delta m_{31}^2, \sin^2 2\theta_{23}$  - fixed at their best fit values.

![](_page_45_Figure_0.jpeg)

 $M_1 \ll M_2 \ll M_3, m_3 \ll m_1 < m_2; M_1 = 2 \times 10^{11} \text{ GeV};$ Majorana CP-violation,  $\delta = 0;$ purely imaginary  $R_{11}R_{12} = i\kappa |R_{11}R_{12}|, \kappa = -1, |R_{11}|^2 - |R_{12}|^2 = 1, |R_{11}| = 1.2;$  $s_{13} = 0$  (blue line) and 0.2 (red line).

![](_page_46_Figure_0.jpeg)

 $M_1 \ll M_2 \ll M_3, m_3 \ll m_1 < m_2; M_1 = 2 \times 10^{11} \text{ GeV};$ Majorana CP-violation,  $\delta = 0;$ purely imaginary  $R_{11}R_{12} = i\kappa |R_{11}R_{12}|, \kappa = +1, |R_{11}|^2 - |R_{12}|^2 = 1, |R_{11}| = 1.05;$  $s_{13} = 0$  (blue line) and 0.2 (red line).

![](_page_47_Figure_0.jpeg)

 $M_1 \ll M_2 \ll M_3, m_3 \ll m_1 < m_2; M_1 = 2 \times 10^{11} \text{ GeV};$ Majorana CP-violation,  $\delta = 0, s_{13} = 0;$ purely imaginary  $R_{11}R_{12} = i\kappa |R_{11}R_{12}|, \kappa = +1 |R_{11}|^2 - |R_{12}|^2 = 1, |R_{11}| = 1.05.$ The Majorana phase  $\alpha_{21}$  is varied in the interval  $[-\pi/2, \pi/2].$ 

## Low Energy Leptonic CPV and Leptogenesis: Summary

Leptogenesis: see-saw mechanism;  $N_j$  - heavy RH  $\nu$ 's;  $N_j$ ,  $\nu_k$  - Majorana particles

 $N_j$ :  $M_1 \ll M_2 \ll M_3$ 

The observed value of the baryon asymmetry of the Universe can be generated

A. CP-violation due to the Dirac phase  $\delta$  in  $U_{\text{PMNS}}$ , no other sources of CPV (Majorana phases in  $U_{\text{PMNS}}$  equal to 0, etc.)

 $m_1 \ll m_2 \ll m_3$  (NH):

 $|\sin \theta_{13} \sin \delta| \gtrsim 0.09$ ,  $\sin \theta_{13} \gtrsim 0.09$ ;  $|J_{CP}| \gtrsim 2.0 \times 10^{-2}$ 

 $m_3 \ll m_1 < m_2$  (IH):

 $|\sin heta_{13}\sin\delta|\gtrsim 0.02\,,$   $\sin heta_{13}\gtrsim 0.02\,;$   $|J_{\mathsf{CP}}|\gtrsim 4.6 imes 10^{-3}$ 

B. CP-violation due to the Majorana phases in  $U_{\text{PMNS}}$ , no other sources of CPV (Dirac phase in  $U_{\text{PMNS}}$  equal to 0, etc.)

C. CP-violation due to both Dirac and Majorana phases in  $U_{\text{PMNS}}$ , no other source of CPV. S. Pascoli, S.T.P., A. Riotto, 2006.

## Conclusions

The see-saw mechanism provides a link between  $\nu$ -mass generation and BAU.

Determining the nature - Dirac or Majorana, of massive neutrinos is of fundamental importance for understanding the origin of neutrino masses.

CPV phases in  $U_{\text{PMNS}}$  can be the leptogenesis CPV parameters.

Low energy leptonic CPV can be directly related to the existence of BAU.

Understanding the status of the CP-symmetry in the lepton sector is of fundamental importance.

These results underline further the importance of the experiments aiming to measure the CHOOZ angle  $\theta_{13}$  and of the experimental searches for Dirac and/or Majorana leptonic CP-violation at low energies.