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**Collective ν flavor transitions
in Supernovae:
*analytical and numerical aspects***

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Outline

- Intro: SN ν self-interactions
- Coupled equations of motion
- Flavor pendulum analogy
- Spectral split/swap
- Discussion of our work(*)
- Conclusions

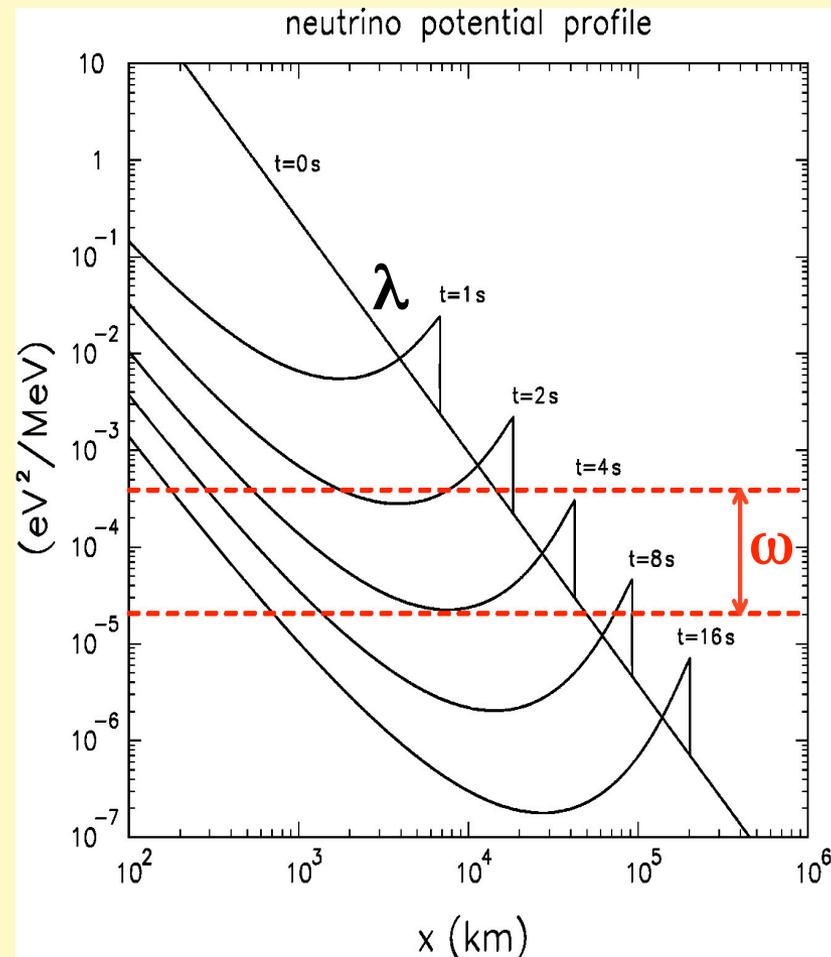
(*) G.L. Fogli, E.L., A. Marrone & A. Mirizzi,
"Collective neutrino flavor transitions in super-
novae and the role of trajectory averaging"
[arXiv:0707.1998](https://arxiv.org/abs/0707.1998) [hep-ph]

Introduction

Well-known MSW effects can occur in a SN envelope when the ν potential $\lambda = \sqrt{2} G_F N_e$ is close to osc. frequency $\omega = \Delta m^2 / 2E$ ($\Delta m^2 = |m^2_3 - m^2_{1,2}|$, $\theta_{13} \neq 0$).

For $t \sim$ few sec after bounce,
 $\lambda \sim \omega$ at $x \gg 10^2$ km (large radii).

What about small radii?
 Popular wisdom:
 $\lambda \gg \omega$ at $x < O(10^2)$ km,
 thus flavor transitions suppressed.
Incorrect!



At small r , neutrino and antineutrino density (n and \bar{n}) high enough to make self-interactions important. Strength:

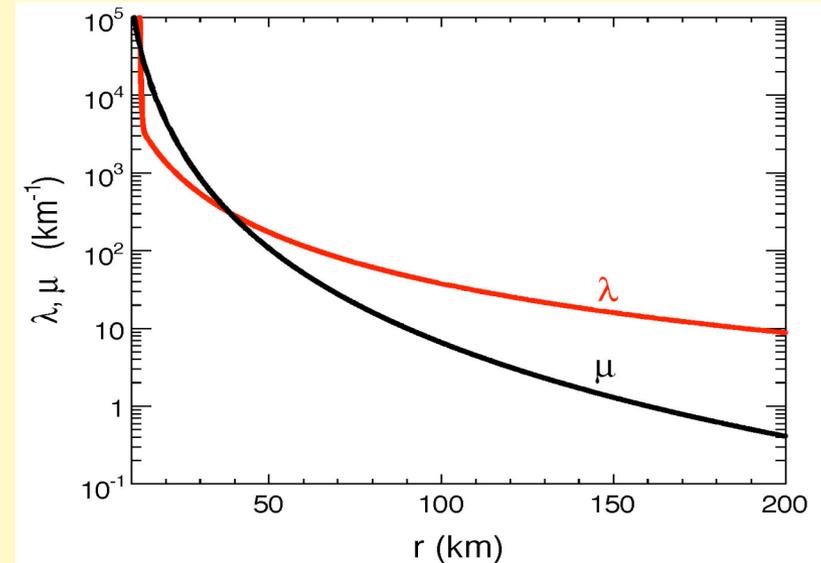
$$\mu = \sqrt{2} G_F (n + \bar{n})$$

Angular modulation factor: $(1 - \cos\Theta_{ij})$

If averaged: "single-angle" approxim.

Otherwise : "multi-angle" (difficult)

Self-interaction effects studied for ~ 20 y in SN. But, recent boost of interest after new crucial results by Duan, Fuller, Carlson, Qian '05-'06



Lesson: self-interactions (μ) can induce large, non-MSW flavor change at small radii, despite large matter density λ

Recent papers (time-ordered)

[01] Fuller & Qian	astro-ph/0505240	
[02] Duan, Fuller & Qian	astro-ph/0511275	<← The “synchronized” and “bipolar” regimes
[03] Duan, Fuller, Carlson & Qian	astro-ph/0606616	<← Large-scale multi-angle calculations
[04] Duan, Fuller, Carlson & Qian	astro-ph/0608050	
[05] Hannestad, Raffelt, Sigl & Wong	astro-ph/0608695	<← The “flavor pendulum” analogy
[06] Raffelt & Sigl	hep-ph/0701182	
[07] Duan, Fuller, Carlson & Qian	astro-ph/0703776	
[08] Raffelt and Smirnov	hep-ph/0705.1830	<← The “spectral split”
[09] Esteban, Pastor, Tomas, Raffelt & Sigl	astro-ph/0706.2498	
[10] Duan, Fuller & Qian	astro-ph/0706.4293	
[11] Duan, Fuller, Carlson & Qian	astro-ph/0707.0290	<← The “spectral split”
[12] Fogli, Lisi, Marrone & Mirizzi	hep-ph/0707.1998	<← Our work (this talk)

Aims of our work:

- Exploration of self-interaction effects for “typical” matter profile with **no MSW effects at small radii** (unlike the shallow profile in [03])
- Test of **robustness of effects** when passing from (simple) single-angle calculations to (difficult but more realistic) multi-angle ones.

Coupled equations of motion (for 2 flavors, e and $x=\mu,\tau$)

Decompose (anti)neutrino density matrix over Pauli matrices to get the "polarization" (Bloch) vector \mathbf{P} . Survival probability P_{ee} related to \mathbf{P}_z .

Discretize over energy spectrum (N_E bins), and over angular distribution if multi-angle (N_θ bins) \rightarrow Get discrete index (indices), \mathbf{P}_i .

Evolution governed by $6 \times N_E \times N_\theta$ coupled Bloch equations of the form:

$$\begin{aligned}\dot{\mathbf{P}}_i &= \mathbf{V}_{\text{ector}}[+\omega, \lambda, \mu, \mathbf{P}_j, \bar{\mathbf{P}}_j] \times \mathbf{P}_i \\ \dot{\bar{\mathbf{P}}}_i &= \mathbf{V}_{\text{ector}}[-\omega, \lambda, \mu, \mathbf{P}_j, \bar{\mathbf{P}}_j] \times \bar{\mathbf{P}}_i\end{aligned}$$

vacuum
matter
self-interaction
ij couplings

Large, "stiff" set of differential equations

Numerical explorations have systematically shown surprising, non-MSW, “collective” behavior of the polarization vectors \mathbf{P}_i 's (and thus of P_{ee}).

Strong couplings make the problem difficult, but also make analytical understanding possible after all! Key tool of “near-alignment”:



Other items in the theoretical toolbox:

Magnetic analogy (“precession” in generalized magnetic fields)

Co-rotating frames (rotate away precession, matter effects)

Pendulum analogy (a surprising link with classical mechanics)

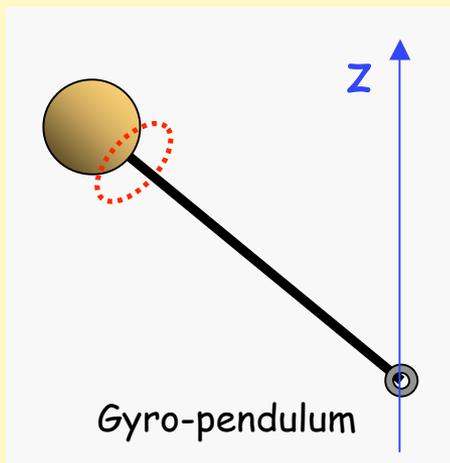
Adiabaticity (density variations slow w.r.t. oscillation periods)

Lepton number conservation ($\nu_e \bar{\nu}_e \rightarrow \nu_x \bar{\nu}_x$, but $\#(\nu_e - \bar{\nu}_e) = \text{const}$)

...

The flavor pendulum (Hannestad, Raffelt, Sigl, Wong 2006)

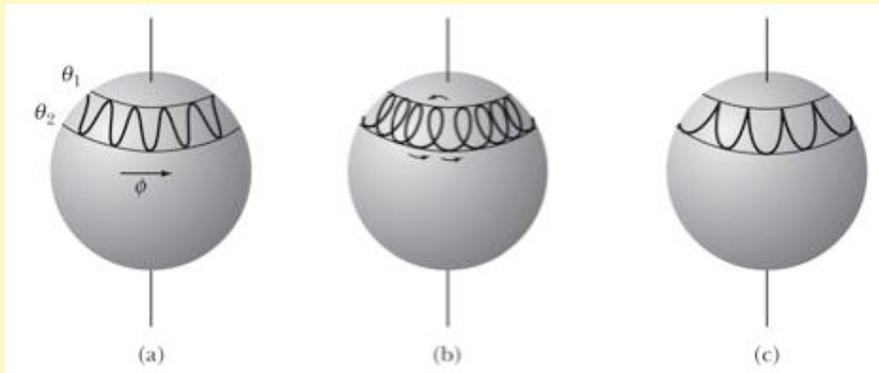
It turns out that a linear combination of the global polarization vectors of neutrinos and antineutrinos obeys the same dynamics of a gyroscopic pendulum (=spherical pendulum with radially spinning mass).



Roughly speaking:

Mass⁻¹ ~ (anti)neutrino density

Spin ~ #neutrino - #antineutrino



Generic motion is a combination of

Precession (around z)

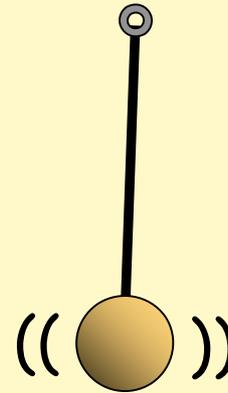
Nutation (along z)

...but with slowly increasing mass!

Neutrino mass hierarchy (and θ_{13}) set initial conditions and fate.

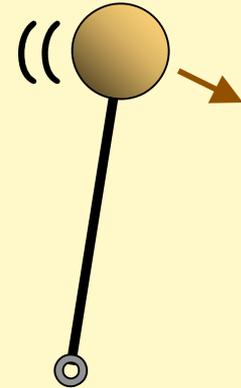
Normal hierarchy:

Pendulum starts in \sim downward
(stable) position and stays nearby.
No significant flavor change.



Inverted hierarchy:

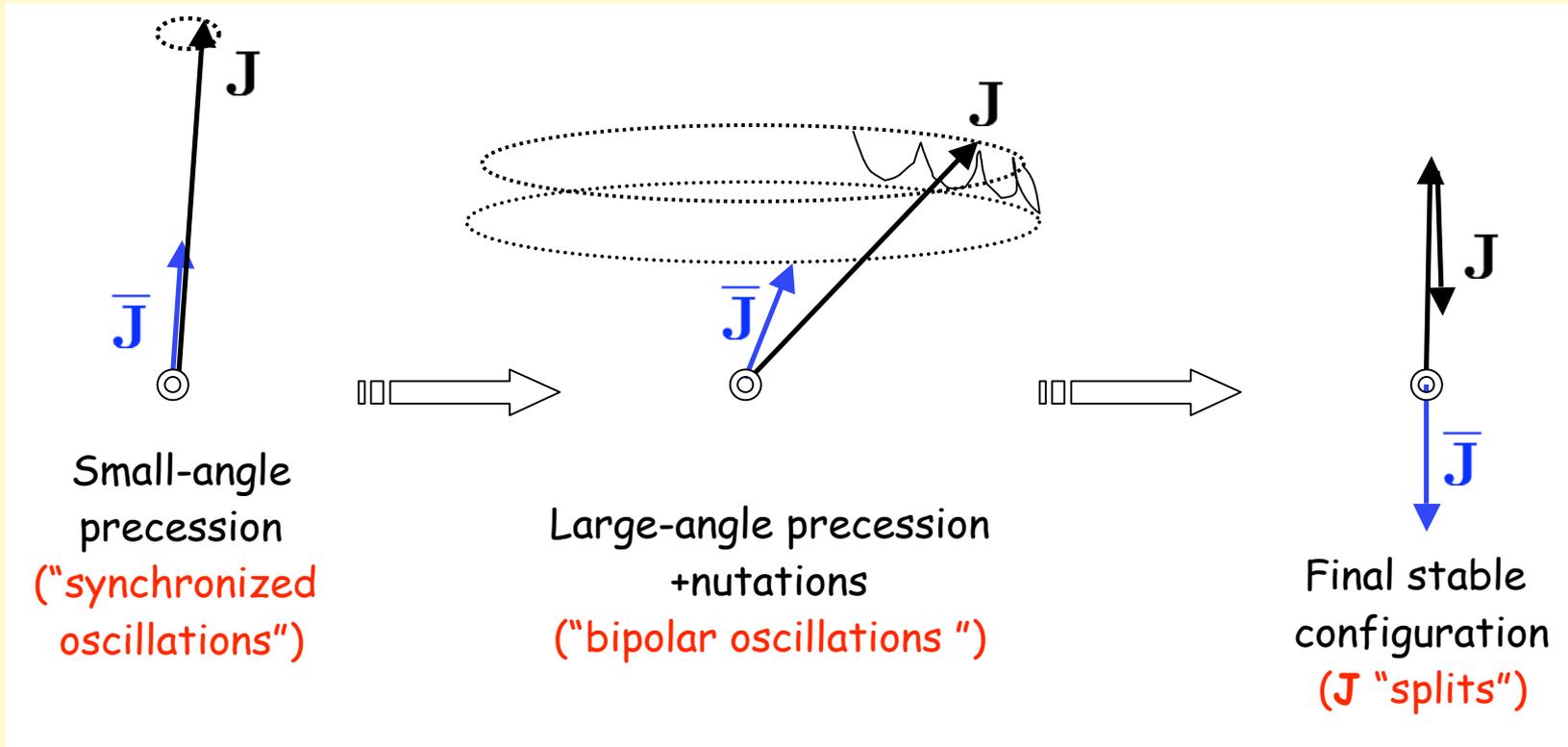
Pendulum starts in \sim upward (unstable)
position and eventually falls down.
Significant flavor changes.



θ_{13} sets initial misalignment with vertical. Specific value not much relevant (provided that $\theta_{13} > 0$).
Only for $\theta_{13} = 0$ exactly, initial conditions are "frozen".

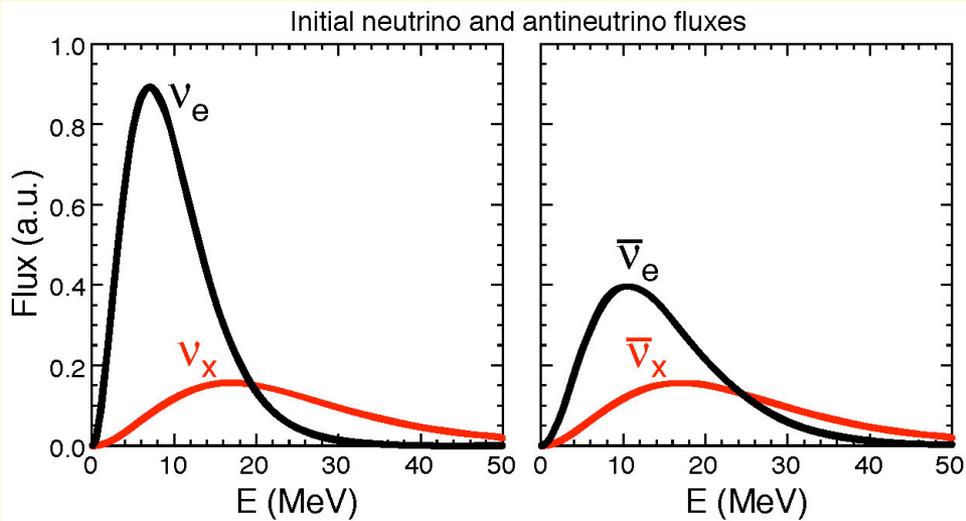
The spectral split (hereafter, inv. hierarchy and $\theta_{13} > 0$ assumed)

Global polarization vectors (\mathbf{J} and $\underline{\mathbf{J}}$, with $|\mathbf{J}| > |\underline{\mathbf{J}}|$) follow pendulum motion as far as near-alignment holds. Eventually $\underline{\mathbf{J}}$ reaches the stable downward position, while \mathbf{J} can't, to preserve lepton number conservation ($\sim J_Z - \underline{J}_Z$)

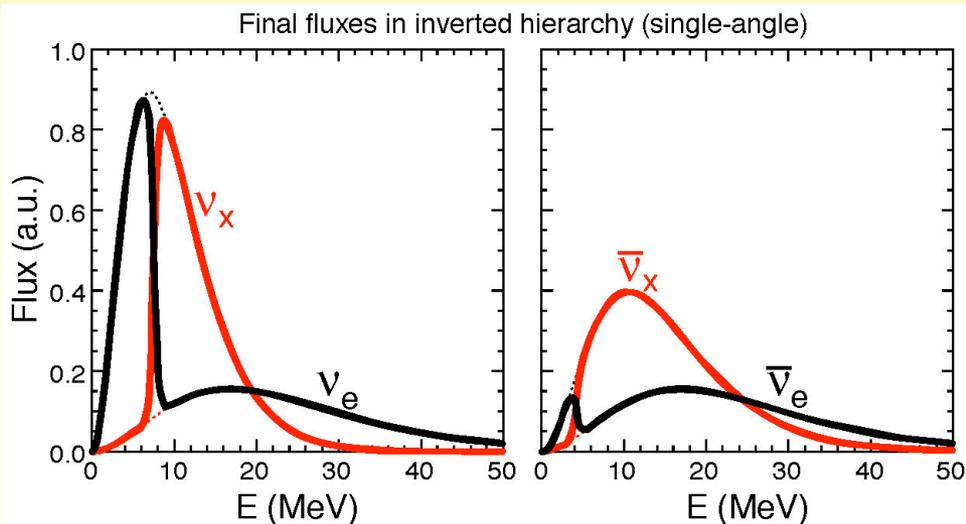


Final state: whole $\underline{\mathbf{J}}$ and high-E part of \mathbf{J} inverted (**spectral split/swap**)
 (Inversion = complete flavor change)

Our results for the spectral split/swap (inv. hier.)



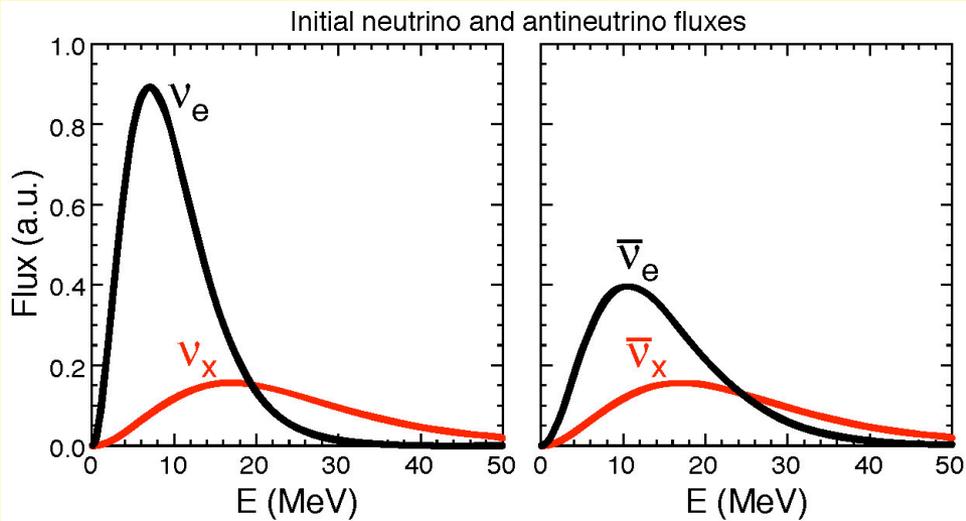
Initial fluxes at the
neutrinosphere ($r \sim 10$ km)



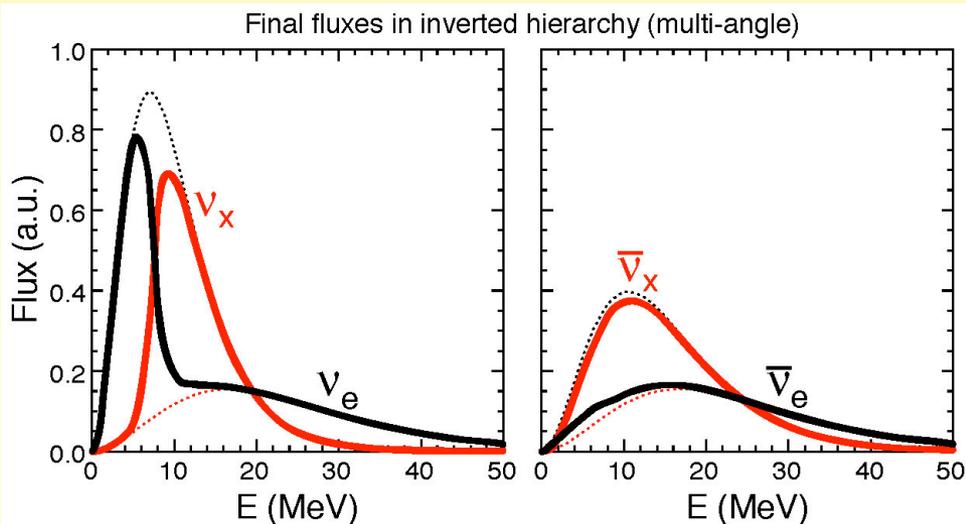
Final fluxes at the end of
collective effects ($r \sim 200$ km)

[Single-angle approximation]

Our results for the spectral split/swap (inv. hier.)



Initial fluxes at the
neutrinosphere ($r \sim 10$ km)



Final fluxes at the end of
collective effects ($r \sim 200$ km)

[Multi-angle calculation,
note smearing effect]

Spectral split/swap of neutrino spectra appears to be a robust signature of self-interaction effects in SN for inverse hierarchy
(Not much happens in normal hierarchy.)

It needs nonzero θ_{13} to build up, but specific value of θ_{13} is of little relevance (for definiteness, $\theta_{13}=0.01$ in our work)

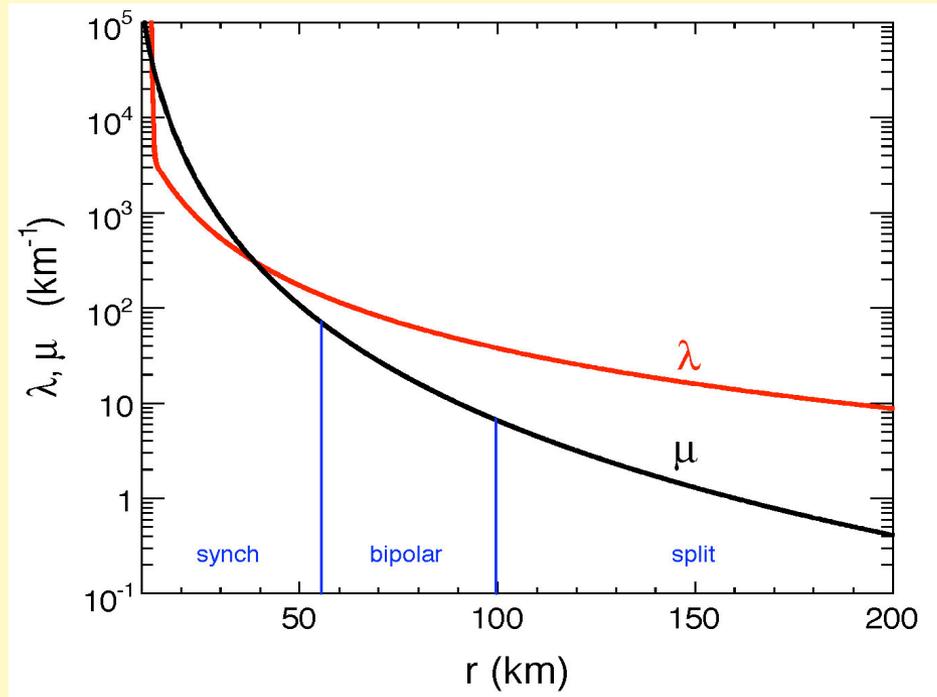
Might be the “ultimate test” of $\theta_{13} > 0$ & of inverted hierarchy

The neutrino splitting energy (~ 7 MeV in our case) is determined only by lepton number conservation (1 equation in 1 unknown)

“Final” spectra at $r \sim 200$ km represent the new “initial conditions” for the subsequent MSW evolution (if any) at larger radii

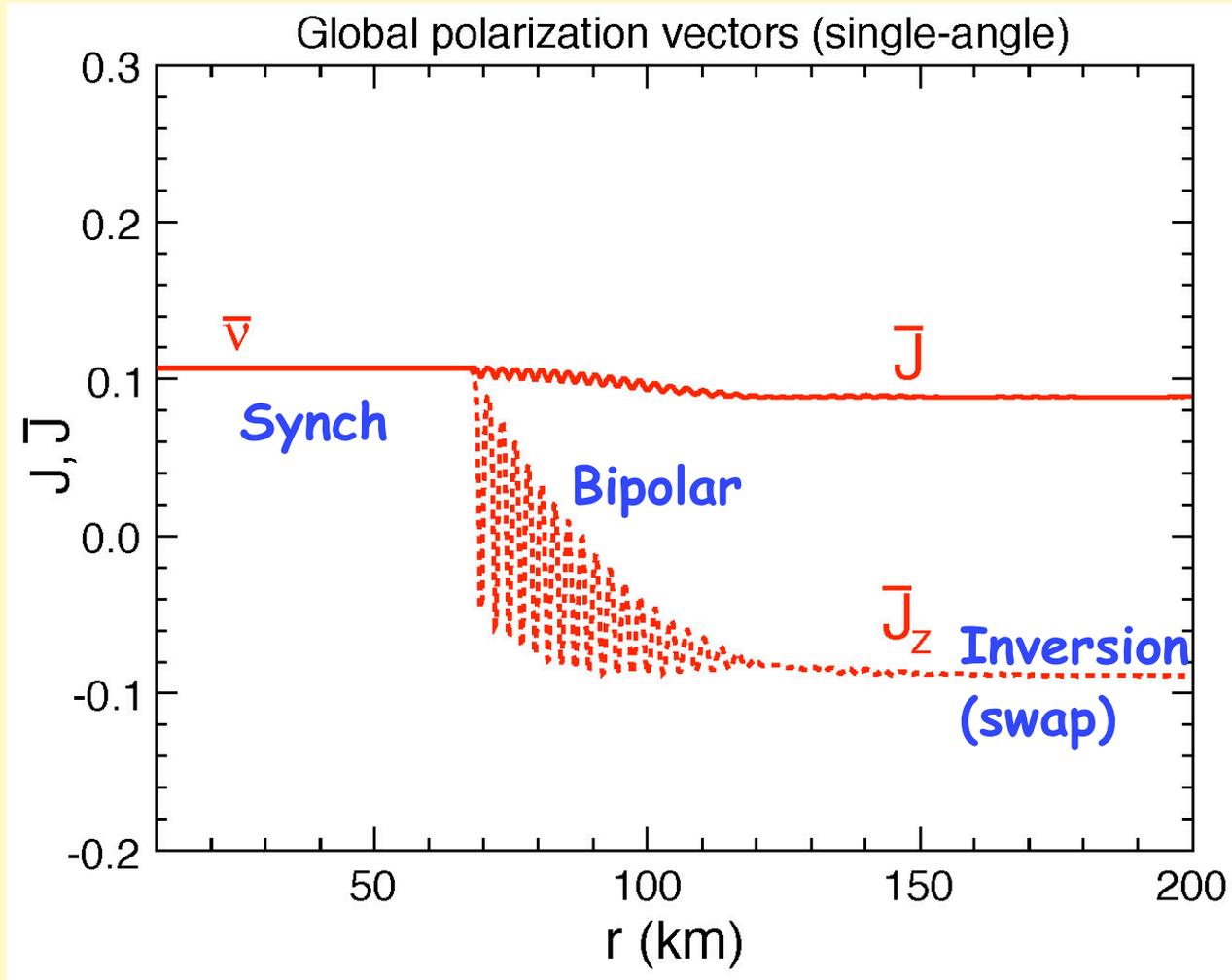
Oscillations between ~10 and ~200 km

Analytical expectations for characteristic ranges:

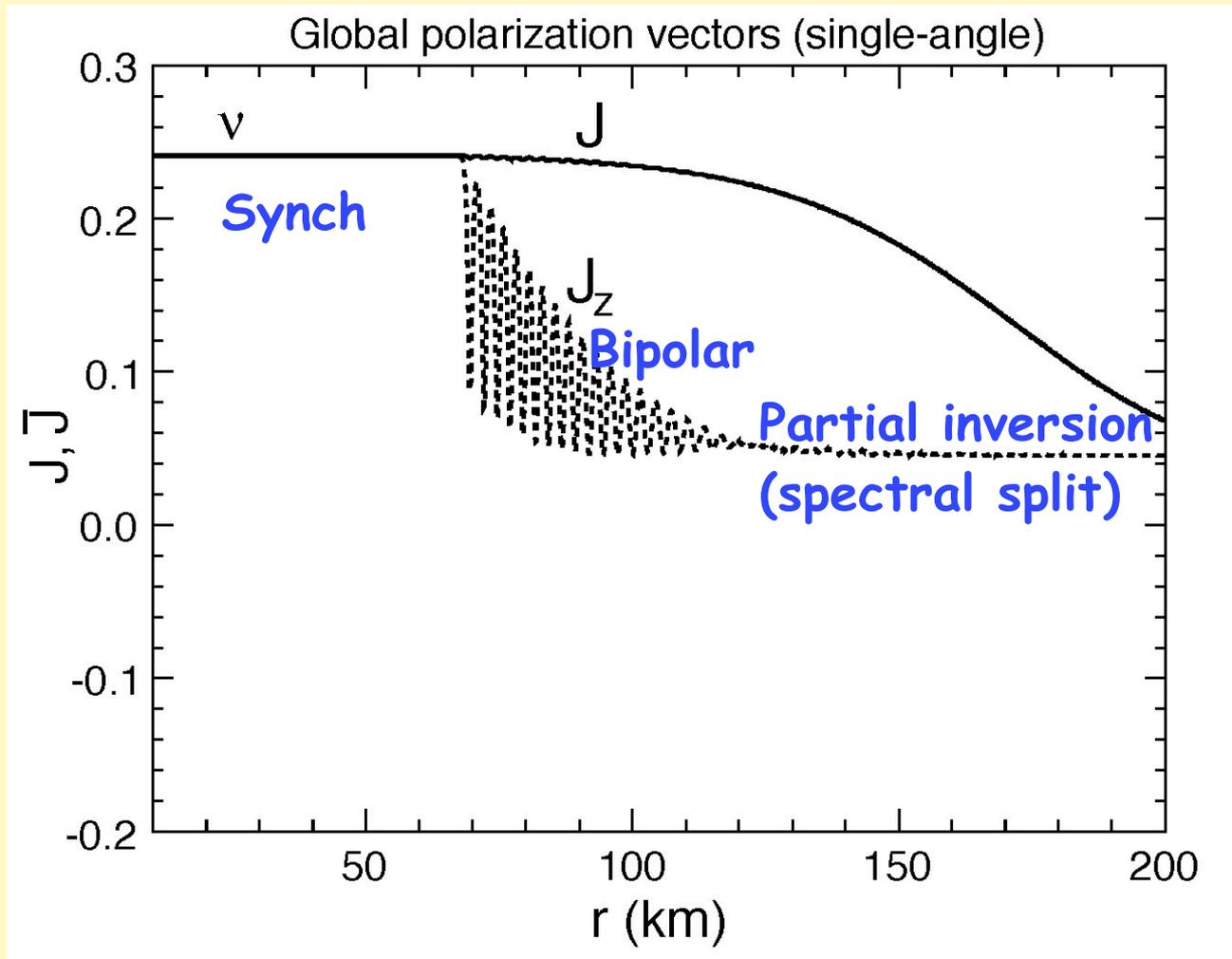


Confirmed by our numerical simulations in single and multi-angle cases.
 Main difference between "single-angle" and "multi-angle" results:
 smearing of bipolar oscillations. Basic features remain robust.

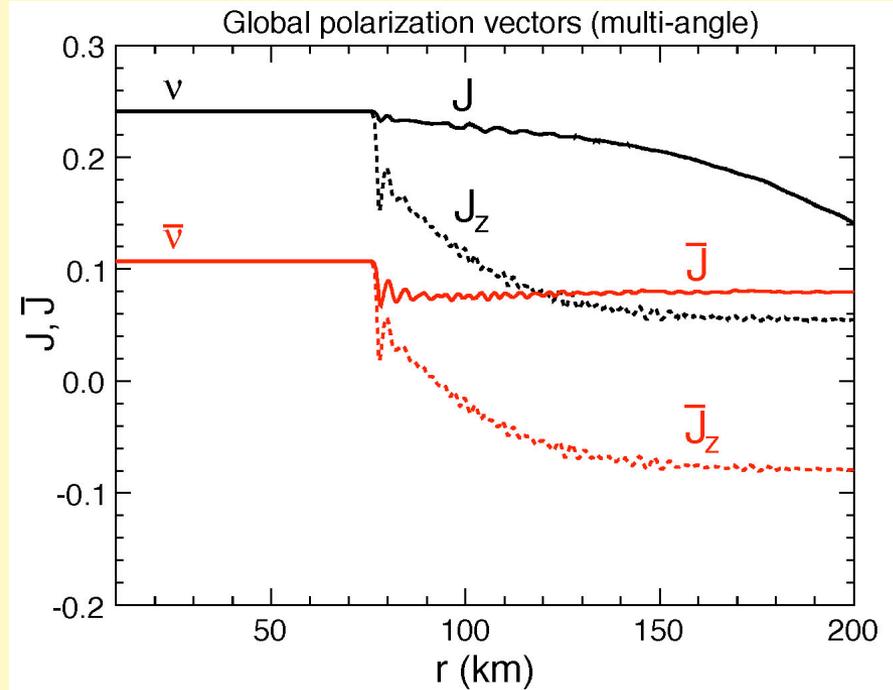
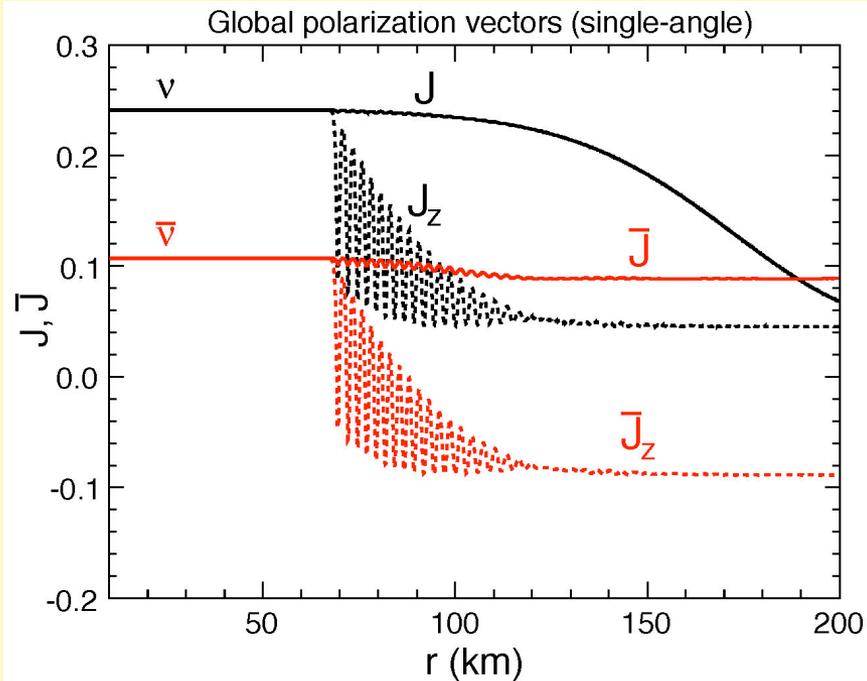
Antineutrinos: numerical results (single-angle)



Neutrinos: numerical results (single-angle)

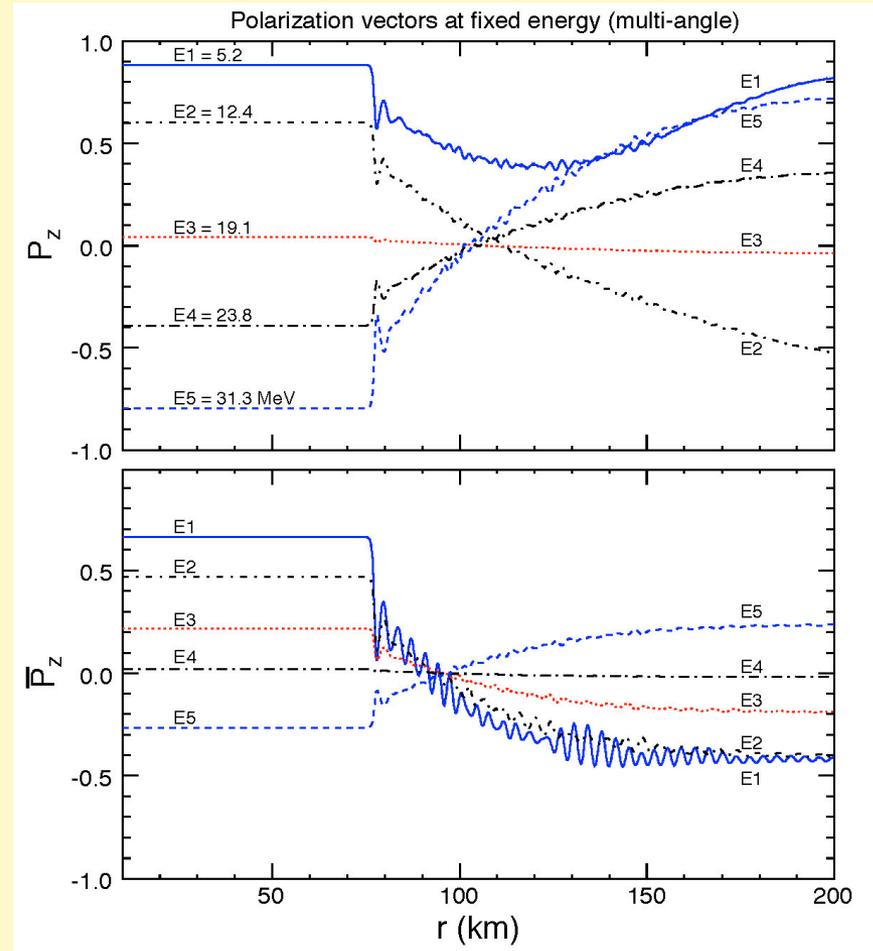
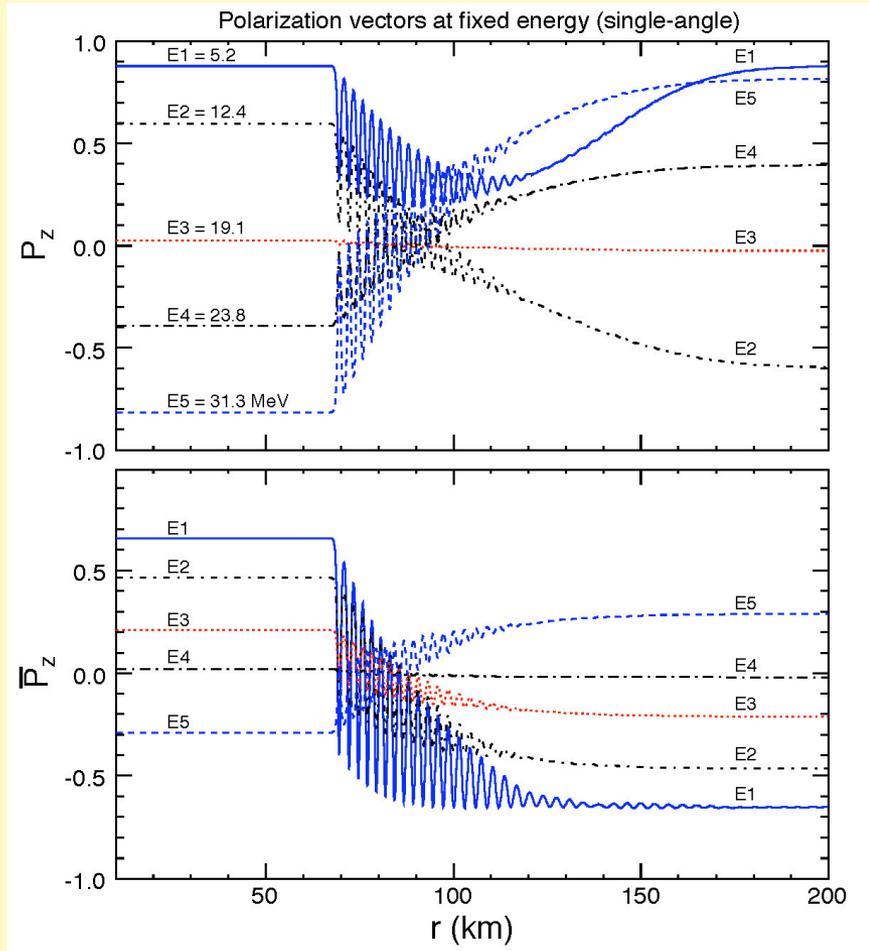


Single-angle vs Multi-angle



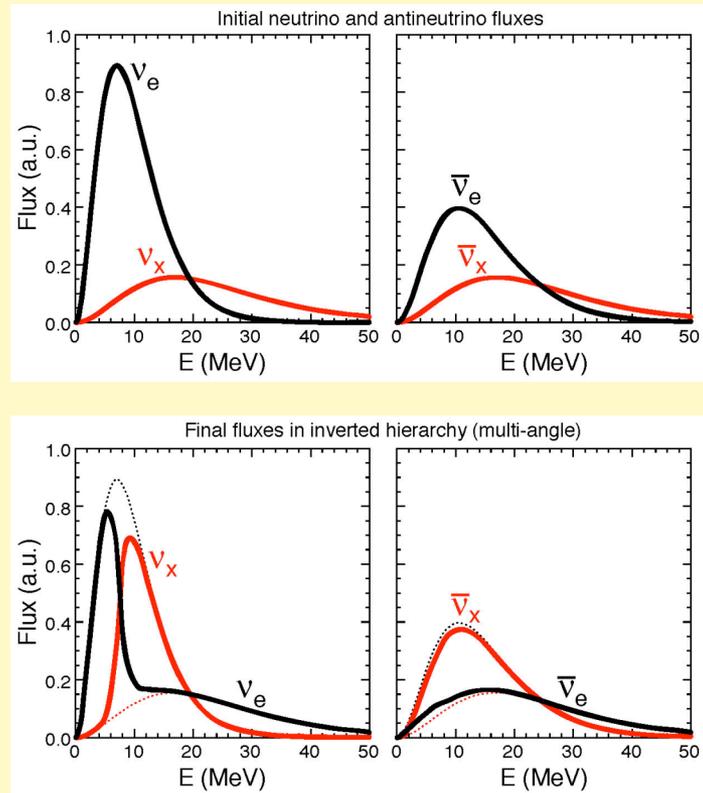
Note smearing of bipolar oscillations.
Other features are qualitatively similar.

Single-angle vs Multi-angle (individual components P_i)

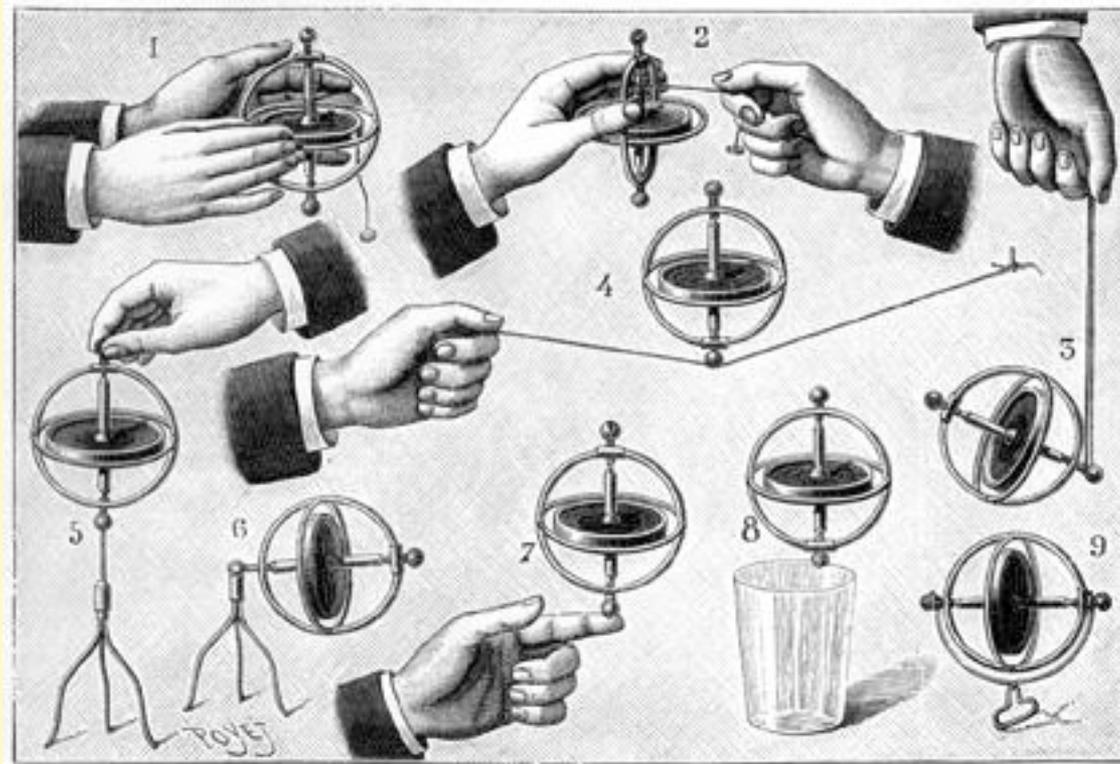


Conclusions

For experimentalists: Spectral split/swap is a robust, observable and well-understood signature of SN neutrino self-interactions in inverted hierarchy (provided that θ_{13} is nonzero)



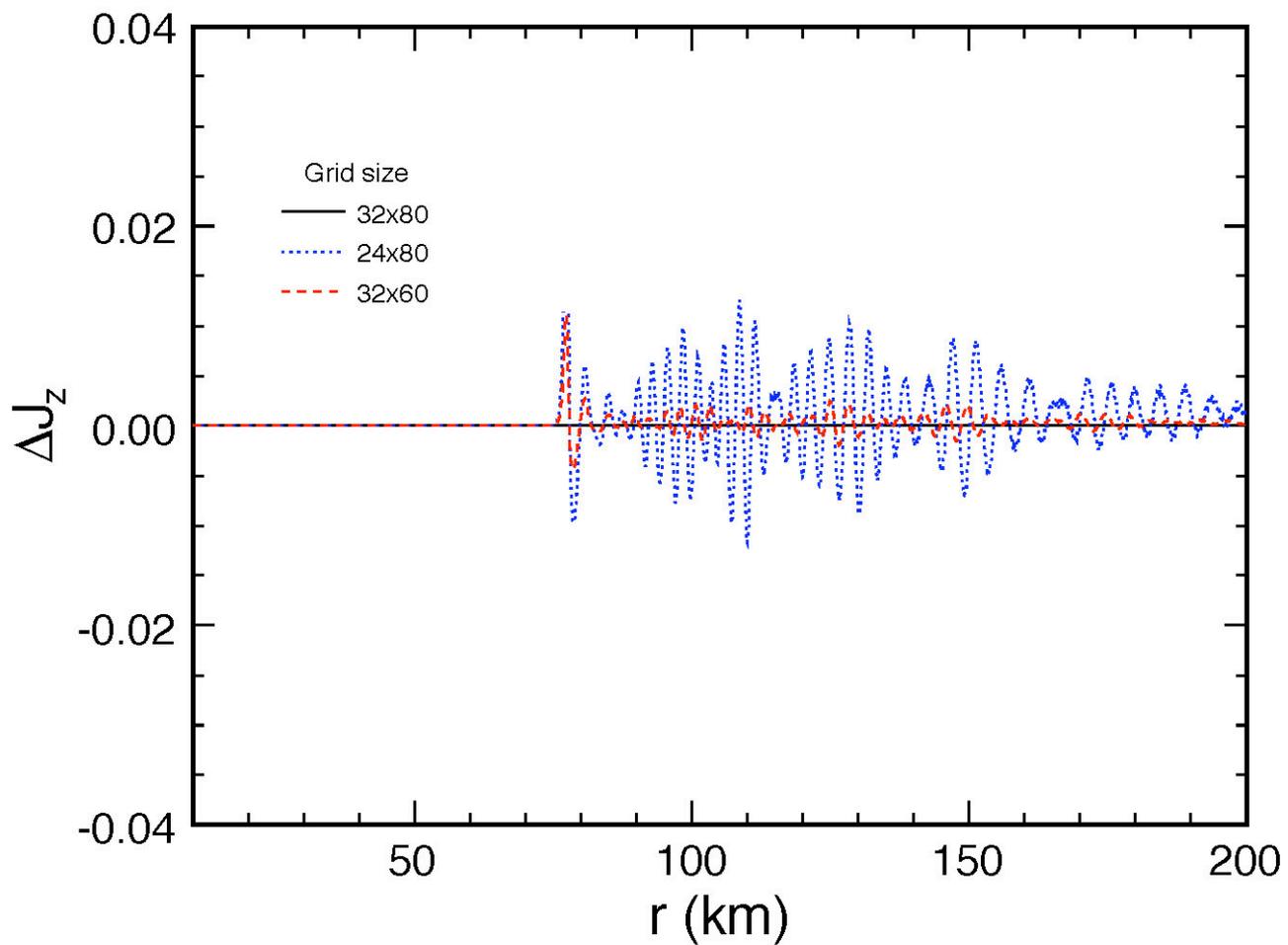
For theorists: Playing with the flavor gyro-pendulum is fun!



Thank you for your attention.

Backup slides

Test of numerical convergence





Scanned at the American
Institute of Physics

Pauli and Bohr interested in a spinning top